

FACULDADE DE ENGENHARIA DA UNIVERSIDADE DO PORTO



FEUP

Multi-agent system for simulation and validation of scenarios

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Mestrado Integrado em Engenharia Informática e Computação

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Abstract

The goal of this dissertation aims in an agent-based platform development for simulation and validation, and the applicability of multi-agent systems in a military simulations context. Given a certain configuration – positioning of defense maritime assets – it's important evaluate its effectiveness in protecting a certain region or infrastructure, knowing the communication protocols and strategies that represent the real life protection. This dissertation was developed on the scope of SAFEPORT project proposed by NATO, being framed in a large context constituted by several independent modules with distinct functions, in which a modular communication is a requisite.

The platform aims in providing the information about the simulation results, representing a validation of a certain defense configuration using the protection of an infrastructure and the usage of given strategies. Hence, were used the learning concepts by the state of the art to simulate a proper real warfare.

One of the main motivations of this project is the user possibility to validate the maritime assets for a certain surveillance and protection region, and its correspondents behaviors, without costs and life-threatening conditions. The agent-based approach is also a motivation for the project development, being the implementation of multi-agent systems for simulation processes an expansion area, more specifically in military systems.

For the project development some simulation system's processes were used for the correct implementation and modeling of behaviors and strategies, as well as the technologies that allow correct modeling – simulation process – and modular – modules that constitutes the whole project – communication. These processes are the result of the context areas that constitutes the problem, being adapted to the system requisites for the project development.

Resumo

Esta dissertação tem como objetivo o desenvolvimento de uma plataforma multiagente de simulação e validação, e o estudo da aplicabilidade de sistemas baseados em agentes num contexto de simulação. Dada uma determinada configuração - disposição de meios marítimos – é importante saber se esta é ou não eficaz na defesa de uma determinada infraestrutura, tendo conhecimento dos comportamentos dos meios disponíveis e respetivas formas de comunicação. Esta dissertação enquadra-se no projeto SafePort proposto pela NATO, fazendo assim parte de um plano mais alargado constituído por diferentes módulos independentes, com funções distintas, sendo requisito uma comunicação modular.

O objetivo do projeto de dissertação passa por fornecer informação relativamente aos resultados da simulação, representando uma validação de uma dada configuração dos meios militares disponíveis para a defesa de uma dada infraestrutura, bem como as suas estratégias associadas. Para isso foram utilizados os conceitos explorados na revisão bibliográfica, simulando um ambiente hostil através da informação modelada sobre os comportamentos e estratégias dos meios.

Uma das grandes motivações para a realização desta dissertação passa por dar a possibilidade aos utilizadores de validar os meios aplicados num ambiente de vigilância e proteção, e os seus respetivos comportamentos, sem que custos e ameaças de vida humana sejam uma possibilidade. A utilização de sistemas de simulação e validação baseada em agentes representa também uma motivação ao desenvolvimento deste projeto, visto ser uma área em expansão dentro da simulação computacional, e mais especificamente na simulação militar.

No desenvolvimento do projeto, foram aplicados alguns processos no que toca à implementação de um sistema de simulação, e na modelação correta de um comportamento ou de uma estratégia, bem como tecnologias que permitem a correcta comunicação modelar - modelo de simulação - e modular - todos os módulos que participam no projeto na sua generalidade. Estes processos são fruto do estudo já realizado sobre as áreas de contexto da dissertação, sendo adaptadas aos requisitos do sistema para a realização da mesma.

Acknowledgements

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The Name of the Author

*“You should be glad that bridge fell down.
I was planning to build thirteen more to that same design”*

Isambard Kingdom Brunel

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Abbreviations

ADT	Abstract Data Type
ANDF	Architecture-Neutral Distribution Format
API	Application Programming Interface
CAD	Computer-Aided Design
CASE	Computer-Aided Software Engineering
CORBA	Common Object Request Broker Architecture
UNCOL	UNiversal COmpiler-oriented Language
Loren	Lorem ipsum dolor sit amet, consectetur adipiscing elit. Sed vehicula lorem commodo dui
WWW	<i>World Wide Web</i>

Chapter 1

2 Introduction

Regarding the military context, one of the most common issues refers to the managing and appli-
4 ance of aerial, land and maritime available means, in both strike and defensive environments. For
the problem solving of this approaches, differential equations were explored in the early of twen-
6 tieth century, by *F. W. Lanchester and Epstein* [Smi98]. Those equations were a major step for
the modeling and simulation process in military warfare, being nowadays used for the simulation
8 of several kinds of strategies in different means in hostile situations [Ila04].

Taking into account the past two decades, military simulation systems have been changing its
10 paradigm and the way they are implemented computationally. Those paradigms refers to artifi-
cial intelligence usage, in which was a changing of simulation approach, passing from differential
12 equations to an individual and decentralized approach, allowing this way an adaptive behavior
modeling [Ila04]. The usage of multi-agent architecture regarding simulation, modeling and val-
14 idation, has becoming to prove adequate for both individual and global strategies and behaviors.
The study of complex dynamic systems modeling has been explored, being one of the most im-
16 portant contexts for the diverse agents' implementation and heterogeneous behavior.

This kind of problem regarding the simulation context has a major influence in the military
18 scope, since exist several combat means distributed around the world, with the purpose of harbor
surveillance and defense. The agent-based simulation study allows the validation of strategies
20 that every nation has about their available defense and strike means. This document has the main
purpose to present the not only the implemented project in the dissertation scope, but also a dis-
22 cussion of the agent-based simulation regarding the military warfare, and show some work that
were performed in the area of Distributed Artificial Intelligence.

24 1.1 Context

The agent-based project implementation arises from the necessity of real world military warfare
26 simulation and validation, regarding the requisites of SAFEPOR's scope proposed by NATO.
The main goal of this project is to validate a set of surveillance defense force strategies in a given
28 mapped region, using the implementation of sensors, behaviors and communication process in a

simulation platform. The simulation only takes into account the maritime forces, despising the aerial and land means for harbor protection. 2

This project is framed in Distributed Artificial Intelligence scope, and has the main purpose of developing an agent-based architecture that fulfills the proposed simulation problem. Regarding this project context, the notion of agent is defined as a single real life's vehicle, with collective and/or individual communicative and interaction properties for the problem solving fulfillment. All the objects are intended to perceive information from its associated simulated environment, being an inherent property of the developed architecture [Woo95], providing a real world representation [Woo02]. 4 6 8

The simulation property as temporal component should be implemented regarding the modeled multi-agent system, being modeling, like strategies and behavior definition, a sub-domain of simulation. This project should also replicate the time conditions using the usual time step approach, creating a continuous simulation instead of discrete, like is using in, e.g. state machines. 10 12

1.2 Motivation 14

Defense system represents a problem to be solved, firstly in personal context, then between groups and social entities, and finally between nations and countries, which entails in the validation of defense and surveillance strategies for the entities protection. 16

This project has a main motivation of developing a capable system which allows to increase the safety of valuable entities, and don't exist a viable and easy real simulation process. Hence, the increasing defense components positively influence firstly in a sociologic level, and then in a political level, allowing a social stability in which investments and production are all in favor of population. The usage of agent-based systems is also a major motivation for the platform development, since the military approach is yet closely attached to the differential equations for the modeling of non-linear and complex systems. 18 20 22 24

1.3 Problem and Goals

This simulation platform is framed in a higher project called SAFEPART proposed by NATO, and is composed by different modules that should be integrated with. The platform should simulate the modeled dynamics taking into account the simulation, region mapping and vehicle properties, provided by a configuration file from the DSS. The simulator should provide some feedback from the simulation execution, in which parameters like unseen threats in protected areas should be analyzed. Hence, the platform has to promote a bi-directional modular communication for the proper execution of the project as a whole. 26 28 30 32

The main goal of the project is to replicate the real world dynamics regarding the military warfare, by using a multi-agent system. The simulation platform was only requested due to paradigm changing, which is intended to be a new type of simulation process in the military context, despising all the previous used methods. The final result should provide a viable and effective validation 34 36

of the simulation execution, being capable of advising the user for the decision-making of defense
2 configuration choice.

1.4 Document Structure

4 The structure of this document is based on different sections that are intended to organize the
information, promoting an easy understanding of the dissertations purposes, and fast access to
6 specific information which users want to be explained.

The first contents regards Section 2 and refers to the state of the art, in which will be presented
8 some platforms used in the military modeling and simulation, giving an overview for the user's
context in the scope of the dissertation. Section 3 is intended to provide a feedback of multi-
10 agent system and agent's properties, and what is valuable to replicate for the real world warfare
simulation. Section 4 talks about the implementation of the project, explaining all the details like
12 simulation process and obstacle avoidance algorithms. Section 5 presents two case studies that are
intended to validate the simulation's implementation, using real world cases to compare the final
14 provided results. Section 6 is the final exposure of the dissertation, and promotes a discussion of
all the methodologies used for the project implementation, the technologies used and the results
16 for validation purposes.

Introduction

Chapter 2

2 State of the Art

The scope of this chapter is to present some simulation and modeling works, providing a state of the art in the military context allowing users to understand how platform development is growing and the most important produced simulation software.

Will be also presented the most common problems that leads to motivates the usage and development of this king of platforms, as well as the necessary information for the military conception of simulation.

2.1 Introduction

In this section will be presented few modeling and simulation platforms within the military scope, making an analysis of how this king of platform can advise in the decision-making process of the best force configuration, complemented with behavior models of individual and collective entities. Also in this section will be analyzed some platforms which use agent-based architectures and class model that well represents the modeling and simulation process. One of the main goals is to create a comparison between the different analyzed architectures to be measured the pros and cons, for the further justification of framework's usage. The platforms to be analyzed were chosen taking into account its importance in the field, its implementation and usage. The platforms go from early eighties to nowadays, creating this way a comparative term for the simulation platform's evolution. Regarding the Modeling and Simulation concept (M&S), a definition was conceived by the United States Department of Defense [oD98], which says:

"The use of models, including emulators, prototypes, simulators, and stimulators, either statically or over time, to develop data as a basis for making managerial or technical decisions."

The next sections refer to the independent treatment of Modeling and Simulation, for the specific explanation and good readers ' understanding of concepts.

State of the Art

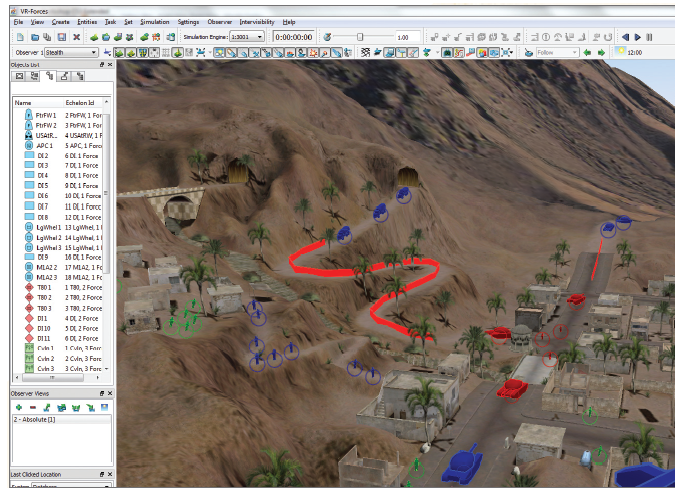


Figure 2.1: Military Simulation Environment [MAK11]

2.2 Simulation

Regarding the definition of simulation, a reliable source of how the terms should be used refers to the United States Department of Defense [oD98]:

"A method for implementing a model over time."

Other interesting definition is the appliance of the simulation process:

"The executing software on a host computer that models all or part of the representation of one or more simulation entities. The simulation application represents or "simulates" real-world phenomena for the purpose of training, analysis, or experimentation."

In other words, the simulation is the attempt of real world replication allowing the possibility of behavior analysis, which entails in the problem solving resolution and aid in decision-making process for better behavior's applicability in the real world. For a good understanding about the simulation concept, there is other concept that cannot be putted aside and needs to be explained – Computational Modeling. The computational simulation concept involves the computational modeling due to the fact of modeled behaviors to execute, usually mathematical modeling, for the creation of real world environment, being also possible the usage of the simulation process in further modeling cases. In other words, from the simulation process could emerge valuable behaviors that should be used for the problem solving improvement. An example of this approach is the Genetic Algorithms that use solutions of the problem for the generation of new ones, which entails in the further inclusion of new solution's generation. Figure 2.1 is intended to show a more realist goal of the simulation process, in which can be seen the simulation of a military system within a warfare environment.

As previously said, the main purpose of simulation implementation is related to the gathering of answers to a certain problem, using the real world replication. This replication should take

into account all the environment dynamics like properties and behaviors, in which could be implemented in a computational system, avoiding the life-threatening and major costs of real simulation and training process.

Simulation is in an increasing importance process, due to the high level of abstraction and flexibility of the implementation diversity. Physics, economics, psychology, business logistics, military operations and sports are few examples of possible integration of simulation process for problem solving. All the real world environments which have a temporal component with a high degree of interaction, the simulation process could be implemented to reach validation or problem solving purposes.

Few real examples of simulation goals are related to optimization problems, environment control tests, group and individual training and decision support systems. One proper application of this type of system, is the simulation of complex systems which are impossible to solve analytically due to a high number of degrees of freedom inherent to environment dynamics.

The execution of simulation environments which are computationally modeled could have different temporal complexities, going from few seconds – simple environment to model without the existence of a high number variables and degrees of freedom – to several hours – non-linear dynamic systems – using a web of computers, making usage of distributed processing.

As an example of real world replication, two projects that marked the simulation and modeling positively should be presented. The first one refers to the modeling of a complex protein producer in organisms using 2.64 millions of atoms [oPH05], and the second regards the modeling of 66.239 war tanks and vehicles, where the simulation environment was very similar to Kuwait, using multiple super computers of United States Department of Defense, in the scope of *High Performance Computer Modernization Program* [JPL97].

Like in all models created by humans, there's always an attempt of real world similarity, in which few of them were good, and others not that good. This similarity attempt emerges from the concern of both modeling entities and final users of the platforms.

Hence, one of the most common problems of this context is to know if the final result of the modeling process is adequate, or if it fulfills the goals whereby it was created. For this problem solving, some authors like Sargent and Smith presented three essential phases: Verification, Validation and Accreditation [Sar91].

Robert G. Sargent presented in 1982a simplified model of verification and validation that refers to the development process of models, in which authors like Banks, Gerstein and Searles, claims that simplified model is more adequate regarding previous approaches.

All the presented phases are an integral part of the development simulation cycle and assumes that firstly the world replication as a computational system, and then the conceptual model is defined [CR07].

Figure 2.2 was build taking into account the Sargent's diagram and could be seen in this simplified model, that are three different important sections. One of them is problem entity which is the system to be modeled; the other is the mathematical model that refers to conceptual model construction; and finally the computerized model which is the mathematical model implementation as

a platform.

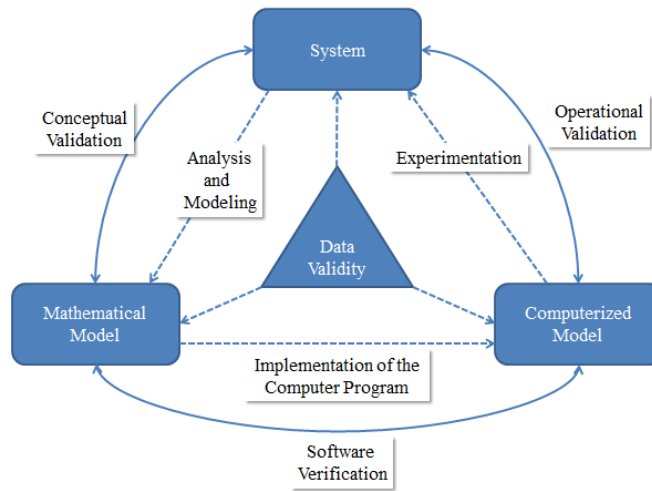


Figure 2.2: Verification, Validation and Accreditation Diagram [Sar91]

The conceptual model is built through the modeling and analysis phase; the computerized model from implementation of the computer program phase; and system is composed by the experimentation and operational validation phase.

Validation of conceptual model, or just Validation, is the process to determine if the gathered information and assumptions of the model are correct, and the system implementation provides the final expected results. This phase is commonly described as the answer to the following question: *Are we building the right product?*. Verification of the computerized model, or just Verification, is intended to ensure the correct computerized implementation of the conceptual model. This phase is usually described as the answer to the following question: *Are we building the product right?*. Accreditation or Operational Validation consists of verifying if the final resultant behavior of the model is enough precise to its final purposes. The central section of Figure 2.2 – Data Validity – is responsible for ensure that all the necessary data for developing, evaluation and model testing, and experimentation phase are adequate and correct.

Simulation Process From the first attempts of simulation to nowadays, the modeling and simulation techniques have been evolving in a way of creation a right and efficient process, which could validate the necessary parameters for the proper construction of simulation and model. This process goes from the problem definition, software model construction, to its possible expansion for a better world representation. Figure 2.3 presents an adapted development process created by Smith in 1998, for the efficient and effective simulation’s implementation, taking into account the validation and verification of the model.

The first stage of this process regards the Problem Definition. This stage is intended to defining the goals and requisites that should be taken into account for the system’s development, and the

State of the Art

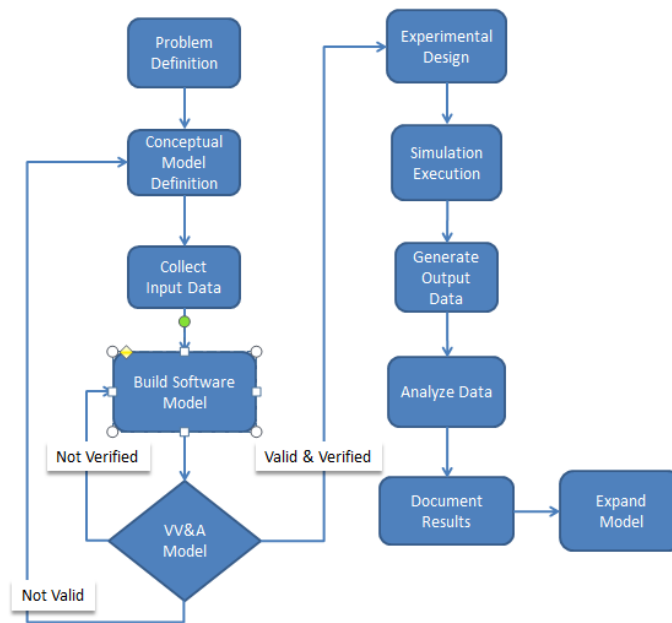


Figure 2.3: Simulation Development Process [CR07]

accuracy inherent of the expected final results. The following stage is the Conceptual Model Definition, which consists in the algorithms usage for a possible modeling and its input and output variables. This stage should be repeated as necessary, in order to the proper model could be found. Next stage is constituted by the Collect Input Data that could fit for parameters modeling purposes. This information should be also used for the validation of final results in which the proper correct behavior of the system can be tested with the means used to develop it. The actual stage represents the more complicated one since it is related to human subjectivity for the notion of proper replication of the real world. Build Software Model is the next stage of the process, and it is based on the computational implementation, begin in a programming or specification language, of mathematical modeling and previous developed functions. However, according to Verification and Validation, all the previous stages could be repeated, refining the model. The following stage is the Experimental Design which consists in the system design that can benefit the final result in terms of efficiency, reducing the time of simulation. Simulation execution is the next stage of the process, and is intended to execute several simulations for the high number of results gathering. In the posterior stage, Generate Output Data, the Monte Carlo method is commonly used, in which different data could be collected, and this way the Analyze Data stage can be performed and being possible to infer with more efficiency the entities' simulated behaviors and trends, that can answer the all the questions of the problem. The usage of tools that could well represent all this generated information is an asset for a quick answer attainment to the problem, as well as an easy explanation to other not specialized entities. The stage that succeeds all the aforementioned, is the Document Results, in which all the process information is documented and registered for further analysis and sending to interested parties. The final stage of the process is Expand Model, and is

intended to expand the simulation model to other kind of simulation processes. By the simulation process development presented previously, different kinds of simulation could be implemented, being continuous, discrete or even stochastic.

Continuous Simulation Those kind of simulations are mostly likely used when exists the necessity of continuous variable modeling, representing an evolution and progression through time, regarding a certain environment. State variable that are associated to temporal processes are usually represented with differential equations, which are intended to reproduce the state of the environment along time. Continuous simulation is commonly used in contexts like economics, engineering, physics, biology, inter alia, due to the usage of differential equations for the model construction to be used in simulation. The first times that a computer was used for the continuous simulation performance dates back to 1946, and in that time the necessity of solving diverse world problem was a real concern, and the computer promoted its functions for the celestial mechanics, biologic systems and fluid mechanics. One of the most well-known cases refers to the predator-prey model presented by Lotka. This model consists in the interaction between two populations with the main goal of surviving, in which one of them is considered passive (prey), and only has the capability to eat, grow and reproduce, and the other (predator) depends on its preys to feed [Lot20] and [Vol26].

Discrete Simulation Discrete simulation can be seen as a set of sequenced operations, which represent the actual state of a dynamic system. The composition of the actual state is made through merging all the states of the system entities, and it is changed when events occur that leads to the system update. Those changes are made instantaneously, and contrary to the continuous simulation, a transitory phase doesn't exist that can influence the environment, and the other agents indirectly. An example that could be presented is the NDFA (Nondeterministic Finite Automata), which does not allow a continuous time line, but a discrete representation of the dynamic system, skipping from state to state. This kind of simulation uses concepts like queues, implementing most of the time data structures like FIFO (First In First Out) and LIFO (Last In Last Out) for the organization of simulation state and preserve the good execution of the process [CR07].

Stochastic Simulation The stochastic simulation is mostly used when exists a variation of certain parameter values that influences the state of dynamic systems along time [CR07]. This model is deterministic, changing the used simulation process, passing to be performed through statistic distributions, like Normal, Poison or Negative Exponential Distribution. To the model constitution, information about the variables' behavior is needed to calculate the proper model for simulation usage. This information is used to replicate a more reliable approach of the real world dynamics, promoting a good simulation execution and more adequate results.

2.2.1 Military Context

2 For modeling cases of military environments, *Andrew Ilachinski* claims that the hostile environment in which military means are subjected could be modeled as an adaptive complex system. The
 4 hostile environment between entities could be mathematically and physically modeled, through a non-linear dynamic system composed by the interaction of hierarchical organized agents which
 6 continuously adapt to the environment [Ila04].

Regarding the modeling environments like nonlinear dynamic systems, there are different
 8 kinds of environments, with distinct characteristics. One of those environments is intended to locally control its operations, in which the entity group is disorganized and has not the adaptive
 10 skills. Other situation refers to warfare environments that hardly can reach communication equilibrium, and act directly through the environment. Finally, can exit an environment in which its
 12 agents have an high adaptive property, knowing that sometimes a regulator agent couldn't exist to coordinate and organize its behavior, leading to a survival environment.

14 For an easy understanding in military operations, *Red Team* and *Blue Team* are the names of strike and defense teams, correspondently, in which an hostile environment should be modeled.

16 2.2.2 Simulation Platforms

Despising the platform's explanation in 2.2.3, this section is intended to discuss the platforms
 18 that are not agent-based giving an much wider overview about the military simulation platforms to users. Will be presented the simulation and validation process of none agent-based platforms, in
 20 which the user most provide the strategy to the software of its environment applications. Section 2.2.3 will be focusing the explanation of platforms which provides means to the behavior modeling
 22 through adaptive functions and implementations.

Rand Strategy Assessment Center The RSAC software system was developed to improve the
 24 strategy analysis, combining the best properties of WAR Gaming, and analytic modeling, using a multi-agent architecture based in rules that describes its behaviors [Hal85]. Those rules are de-
 26 fined by people with military knowledge, in a most alike English language called ABEL, which can offer a human independence allowing the automatic simulation of agent behavior. The war gaming
 28 concept is itself a discipline with a certain degree of realism, but doesn't allow the multi-scenarios' analysis, meaning that is not possible to analyze the simulation entities' behavior, inferring this
 30 way if a certain strategy is effective or not.

The platform is constituted by four different types of agents, which are intended to simulate
 32 the Red Team, the Blue Team, the environment of the simulation process (Scenario Agent) and the coordinator agent (Force Agent). The behavior of the first three agents is written in ABEL,
 34 and the fourth is written in C programming language. The first two agents, Red and Blue team, aims in simulating the behavior of two conflict forces, while the scenario agent has the objective
 36 of simulate the effects of environment dynamics. The coordinator agent is intended to combine and organize the effects of those three previously explained agents.

State of the Art

The implementation of both Red and Blue teams have a structure divided into three distinct levels – National Command Level (NCL), Area Command Level (ACL) and Tactical Command Level/ForceAgent (TCL) – representing an hierarchical decision-making. NCL receives influence and the actual environment state to determine:

- Context of the decision-making to be performed of each team;
- The operational goals that each agent should reach;
- Operational strategy that each agent should implement for the goals fulfillment.

Determining the above parameters, the NCL has the capability of chose the Analytic War Plan (AWP), constituted by a set of rules with the main goal of continuing the warfare simulation. This platform has previously calculated all the possible plans that could be implemented during the conflict phase.

After that, the previously calculated plan is sent to ACL with the intent of being implemented, sending information for the scenario and force agents. Finally, the TCL, which is merged with the coordinator agent, aims in to simulate the execution along time.

One peculiar characteristic of this platform is instead of the a direct communication between agents, the state of each entity of the simulation is changed and updated through the World Situation Dataset (WSDS). For the indirect managing of contents, a dictionary is used allowing to know which information should be given to an agent, when it is requested.

Network Centric Forces This platforms consists in simulate the conflict and communication processes in warfare environments, and represents one of the major projects developed nowadays regarding in one hand the easy way of simulation parameterization, and for another the realistic representation of the simulation process. This platform is constituted by other two platforms, one of them is named VR—FORCES, developed by VT MAK, and the name of the other is Qual-Net, developed by Scalable Network Technologies. Both of platforms have different purposes, constituting essential parts of communication, simulation and interaction with users.

VR-FORCES platform has the major goal to simulate aerial, land and sea entities' behavior, using different types of means, in which users can previously model the behavior and strategy the vehicles and forces should implement in certain situations and contexts. This way, the platform has an three dimensional graphical interface where conflicts should be simulated and presented for the user to follow the evolution of its strategy's implementation.

The QualNet platform is a realistic communication simulation between the agents and entities that constitutes the simulation's configuration. In a hostile situation, some limitations could exist, like physic limitations, which can reduce of the removal of direct communication between entities. Those limitations could be provoked by land characteristics, like urban environment, or by the range of communications, like establishing a message exchange with a satellite. The communication simulation goes from the human interaction, like to soldiers talking to each other, to communication between antennas placed in different buildings in a city.

2.2.3 Agent Based

2 This subsection is intended to show few agent-based simulation platforms, with both goal of com-
 4 plement a modeling case of agent behavior, and agent simulation with no generation of new be-
 havior through agents' adaption.

ISAAC The name ISAAC comes from *Irreducible Semi-autonomous Adaptive Combat* and is
 6 an agent-based platform for the simulation of a reduced number of combat forces. For the specific
 context of this platform, a multi-agent simulation is artificial life community capable of develop
 8 an adaptive complex system [IIa04]. This system allowed to demonstrate that the usage of agents
 embedded in a military simulation is possible and viable. This concept was presented in 1997 and
 10 is agent-based, in which follow simple rules of behavior that could be associated to a real world
 situation with military properties. The development environment of this platform was MicroSoft
 12 Disk Operating System (MS-DOS), using C programming language.

ISAAC – Agent Architecture As previously said, the agent concept is the base of this platform
 14 development, and this way each of them has some properties that define it as an independent entity,
 and behaves to in order to reach its goals. Each agent represents a unit of warfare, in which could
 16 be a single soldier, a transportation vehicle, an armored vehicle, etc. to guarantee the agent's
 operability were defined the following characteristics [IIa04]:

- 18 • Doctrine: a default local-rule set specifying how to act in a generic environment
- Mission: goals directing behavior
- 20 • Situational Awareness: sensors generating an internal map of environment
- Adaptability: an internal mechanism to alter behavior and/or rules.

22 All agents with those characteristics comply with a hierarchy that is vertically defined. This
 verticality is due to the fact of a commandant existence which could locally influence the agents,
 24 to a group or individual, or globally to all the agents in the environment. These agents have the
 main goal to simulate directives of military situation, in which high senior posts have different
 26 goals and behavior from its decedents.

EINSTEIN The name EINSTEIN derived from *Enhanced ISAAC Neural Simulation Toolkit*, and
 28 is an agent-based simulation platform like ISAAC. This system uses the concept of agent to allow
 the autonomous individual and group behavior development, for further integration with the sim-
 30 ulation environment. This platform uses the same philosophy of ISAAC platform, which an agent
 is an individual representation of people, vehicles, or generally, entities discarding the notion of
 32 agent like weapons, trying to replicate a machine's behavior.

The EINSTEIN platform can be considered as the first attempts to simulate the warfare with
 34 agent-based architecture, from small to medium scale [IIa04]. Being the agent's implementation

State of the Art

a simple and rudimentary intelligence approach, they are prepared to react to several situations with a large mechanized ways, which can depend from the warfare environment dynamics. The existence of dynamic and adaptive rules allows the agent to respond rapidly to unexpected situations providing the best response, knowing which behavior should be applying in certain circumstances. The platform was conceived from object oriented architecture, creating a basic representation based on objects. This type of programming is mostly associated with a class model that represents the relations and interactions of objects. Class model allows the specification of an adequate architecture for the agent-based implementation being associated to concepts like heritage, polymorphism and encapsulation. Despising the implementation of the agent-based architecture, this platform is divided into three independent modules:

- Combat Engine;
- GUI;
- Data Collection;

This type of approach allows those three components being compiled independently, without the necessity of the whole platform's compilation that does not represent any considerable change. Like this platform is divided into three independent modules, a file based communication was adopted for the modular information exchange. The communication is based on information request to promote the actions and some complementary information due to the well function of the system. A basic example is GUI information request to the Combat Engine to update the users information, maintaining this way the normal workflow of the simulation process as whole taking into account all the modules.

EINSTEIN - Behaviors Other strong component of this platform is the set of behaviors based in military actions that can fully replicate the real world warfare, promoting greatly the simulation's final result. A subset of behaviors could be represented as following:

- Forward advance;
- Frontal attack;
- Local clustering;
- Penetration;
- Feints;
- Retreat;
- Attack posturing;
- Containment;

- Flanking maneuvers;
- 2 • "Guerrilla-like" assaults.

One of the platform's goals is to generate and obtain new set of behaviors and its subsequence
 4 evaluation in terms of macro-behavior – general behavior of teams – and micro-behaviors – in-
 6 individual behavior within a team. These behaviors patterns are used as new strategies to move
 8 and act in hostile environments, going from the operation coordination to the individual survival
 10 behavior. This platform can be seen as a higher purpose in which it was created. If the main con-
 cept of this system could be abstracted and be applied in any simulation environment, the changes
 in micro-behaviors could be explored by knowing the impact in macro-behaviors. This type of
 approach contributes to the chaos theory understanding, being useful for complex system devel-
 opment through slight changes in agents' behaviors, promoting the problem solving effectiveness.

12 2.3 Modeling

One of the most well-known Modeling definition was made by United States Department of De-
 14 fense:

Application of a standard, rigorous, structured methodology to create and validate a physical,
 16 mathematical, or otherwise logical representation of a system, entity, phenomenon, or process.

In other words, modeling could be seen as the implementation of several models, being model
 18 a real world reproduction in a computational application. The using of model in simulation pro-
 cesses is a necessity due to its behavior execution in a certain environment, also modeled, where
 20 different behaviors should interact resulting in a similar real world replication. In the following
 section will be discuss some important notions of modeling understanding, regarding its usage and
 22 construction, by presenting examples of military modeling and authors' theories using computa-
 tional modeling, despite mathematical approaches.

24 **Lanchester's Law** For a good understanding of military simulation in terms of mathematical
 approaches, regarding the last century, a travel to 1914 should be made to know the presented
 26 work of F. W. Lanchester. This author's legacy starts the military modeling and simulation history
 in warfare situations, being yet nowadays used. Despite only few mathematical work be presented
 28 in this section, authors like Lottka-Voltera, Chase and Osipov should be noticed and taken as a
 reference in this document, being the precursors of combat theories and predator-prey model.

30 **Lanchester's Law - Equations** One of the most simple cases the Lanchester presented as to do
 with the directed fire or Square Law [Lan56]. The author claims the attriction of on force (Red or
 32 Blue team) is proportional to the number of elements of the opponent force. Assuming $R(t)$ and
 $B(t)$ are a quantitative representation of Red and Blue teams' strength, in a given period of time t ,
 34 and α_R and α_B are a representation of constant effective fire rates at which one unit of strength on

one side causes attrition of the other side's forces. Hence, Lanchester built and directed fire model and can be seen in Figure 2.4.

2

$$\begin{cases} \frac{dR}{dt} = -\alpha_B B(t), R(0) = R_0 \\ \frac{dB}{dt} = -\alpha_R R(t), B(0) = B_0 \end{cases}$$

Figure 2.4: Directed fire model equation

Now will be presented an approximated way of solve differential equations, using hyperbolic functions. The hyperbolic functions are defined as Figure 2.5

4

$$\begin{cases} R(t) = R_0 \cosh(t\sqrt{\alpha_B \alpha_R}) - B_0 \sqrt{\frac{\alpha_B}{\alpha_R}} \sinh(t\sqrt{\alpha_B \alpha_R}) \\ B(t) = B_0 \cosh(t\sqrt{\alpha_B \alpha_R}) - R_0 \sqrt{\frac{\alpha_B}{\alpha_R}} \sinh(t\sqrt{\alpha_B \alpha_R}) \end{cases}$$

Figure 2.5: Hiperbolic Function Definition

The model presented in Figure 2.4 is with equation presented in 2.6

$$\alpha_R (R_0^2 - R(t)^2) = \alpha_B (B_0^2 - B(t)^2)$$

Figure 2.6: Directed Fire model solved

Like the Ilachinski claims, despite of the simplicity of presented equation, is hard to find enough direct and truth relation that validates the real world dynamics. The inconsistent data could be a detrimental factor to the approximation of this model to a real warfare situation, due to much of the information regards only of the teams, not having the proper information about both. Other case is the uncertainty of how information is documented not having the proper formality, reaching data like *killed*, *killed + wounded*, *killed + missing*, etc [Ila04].

6

8

10

Lanchester's Law - Limitations As previously said, this mathematical model cannot describe a sufficient approximation of reality. One of the strong limitations is the outdated information of new war strategies and used technology in twentieth century, which means well trained autonomous teams, with new armor that can be inserted in a cooperative environment, which was not contemplated in Lanchester's Law [Ila04].

12

14

16

Chapter 3

Simulation

3.1 Multi-agent Simulation

3.1.1 Multi-agent System

Multi-agent systems can be defined as a set of agents that interact in a common environment, having the ability of changing themselves and the environment [Fer96]. A multi-agent system can be seen as a society, which a set of coexisting independent entities, reaching its goals, using its cooperation and communication skills, like interaction, perception, adaptation and mobility. This type of system has the capability of solving its own problems by interacting to achieve its objectives [Oli99]. Another definition of multi-agent system involves a flexible web of responsible entities aiming the problem resolution, working as a group to obtain a collective answer which is beyond the individual knowledge of each entity [DC89]. Figure 1 represents some important characteristics like an interactive environment where entities are inserted in, indirect communication through each visibility and influence circle, and direct communication by the organizational relationship and interaction links. These communications are a good representation of real world where entities are neither omnipresent, neither omniscient.

For the correct implementation of a Multi-agent System, the following six topics should be taken into account [Fer95]:

- An environment that is usually a space;
- A set of objects that at a given moment could be possible to associate any object with a position;
- An assembly of agents, which are specific objects and represent the active entities in the system;
- An assembly of relations that link objects to one another;

Simulation

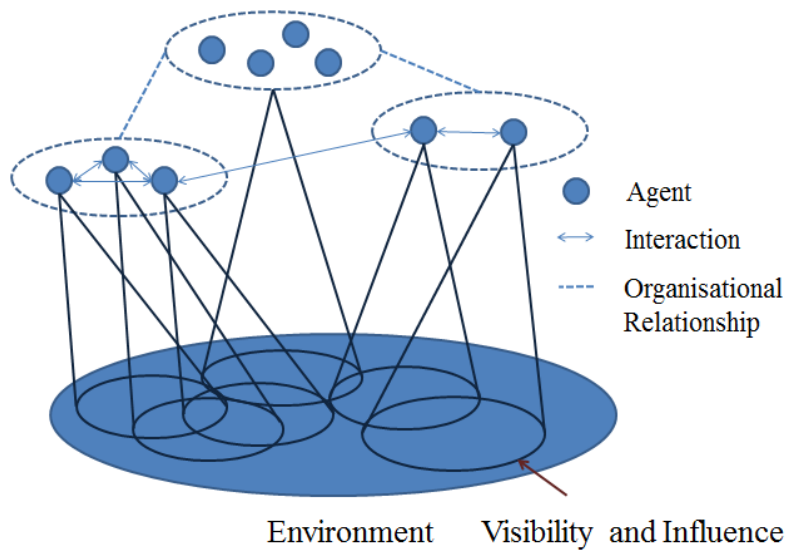


Figure 3.1: Multi-agent System

- An assembly of operations making it possible for the agents to perceive, produce, transform, and manipulate; and 2
- Operators with the task of representing the application of these operations and the reaction to the world. 4

Those characteristics allow the correct classification and segmentation of agent-based system, in which its construction should be formalized to direct the problem resolution through the architecture conception phase. For the development of a multi-agent system there are some pertinent questions, regarding its context areas, that should be raised to promote a correct coexistence between objects that constitutes the environment [Bou04]: 6

- Decision-making: Which decision-making mechanisms are available to agents? Who agent perception, representation and act is modeled? 10
- Control: Are there some hierarchical between agents? 12
- Communication: Synchronous acting of agents? Which type of agent messages should be considered? There is a specific syntax? 14

It is known that the architecture and agents must be specific for each presented problem; hence these topics constitute the cornerstones of MAS that should provide an approximation of real world dynamics, and have to be implemented and modeled in order to reach a valid solution. 16

3.1.2 Agent 18

The definition of agent varies in ranges of context, assuming different functions and purposes in areas like philosophy, sociology, economy, law, and others. Despite those contexts, the definition 20

Simulation

is related to Artificial Intelligence: An autonomous agent is a system situated within and a part of
2 an environment that senses the environment and acts on it, over time, in pursuit of its own agenda
and so as to affect what it senses in the future [Fra97]. Other definition is: A computer system
4 that is situated in some environment and that is capable of autonomous action in this environment
in order to meet its design objective [Woo02]. Agents as software implementation follow certain
6 properties that define and allow the fulfillment of its purposes. There is a set of characteristics that
models an agent which is inserted in an environment that allows an approximation of real world
8 properties [Woo95]. The characteristics that model a simple agent, also known as weak notion,
are:

- 10 • **Autonomy:** The agent operates without the direct intervention of humans or others;
- **Social ability:** Interaction with other agents;
- 12 • **Reactivity:** Perception and reaction of environment changes;
- **Pro-activeness:** Taking self-initiative; and
- 14 • **Temporal continuity:** The agent is continuously running processes.

More complex characteristics that an agent should have to resemble with humans are defined
16 by the following:

- **Rationality:** Act in order to achieve its own goals;
- 18 • **Adaptivity:** Adjust itself to the habits, working methods and preferences;
- **Benevolence:** An agent will always try to do what it is asked for;
- 20 • **Collaboration:** An agent should not unthinkingly accept certain orders that could put in
danger the environment or damage other agents; and
- 22 • **Mobility:** The ability to move around the environment.

For the environment to implement the minimum complexity, an agent couldn't have the whole
24 perception of it, being this way omnipresent, and have control of the environment if it has the
capability to change and influence it, provided by the interaction properties. Hence, the agent is
26 a computational system that is intended to simulate the behavior of an entity to achieve its own
goals, interacting with the environment and other agents.

Simulation

Chapter 4

2 Implementation

4 4.1 Motivation (Safeport)

The development and real warfare application of strategies and individual behaviors is hampered
6 by its life-threatening and multiple situations that should be validated. Simulation is a common
process used in this type of circumstances due to the modeling of real life situations along with all
8 entities that make part of it. Latency of events, waiting time between strategies development and
its testing in real life, entails in longer waiting times, which is usually an important parameter. The
10 usage of a DSS (Decision Support System) along with a Simulation environment is the approach
used for the simulation of surveillance systems, producing a result which is a quality measure of
12 the configurations being tested.

SAFEPORT is a project that aims to develop an application that will help the selection of the
14 best configuration of surveillance systems for harbor and force protection. One of the components
of the DSS will simulate the behavior of surveillance system and possible threats. These elements
16 span from human arm forces units, to sensors, unmanned systems, and vessels. The simulation
will use several configurations of the defensive elements (proposed by the optimization algorithms)
18 against different kinds of attacks under various environmental conditions in a specific operational
scenario. These simulations will help to enhance the proposed solutions and, at the same time,
20 feed the DSS with the results of the several solutions targeting different metrics.

The final result of the SAFEPORT project will be made available to the NATO partners for
22 planning the best defense configurations to force protection in a port or harbor environment.

4.2 Goals

24 For the implementation of this project the main goal is to simulate a given configuration of ob-
jects, which could be vehicles, sensors and a mapped region, along with associated behaviors and

actions to each object. Hence, to reach the purpose of the implementation a XML file-based behavior definition, together with the XML configuration file are the main focus for the modular communication and input parameters to the simulation process.

The usage of agent-based architecture to the simulation implementation is also an objective to reach in this project. One of the main dissertations purpose is the validation of multi-agent systems implementation for the military simulation. The definition of different agent's architecture with heterogeneous behaviors and the communication protocol is intended to provide a warfare representation.

An interface is an important implementation in order to validate the behaviors and mapped regions within the simulation. The interface is supposed to allow the input of configuration files, as well as running the simulation with the common usability of it, like play, pause and stop bottom.

Finally, the main goal of this platform is to provide a reliable feedback to the DSS that should influence new posterior executions of the application as a whole. This feedback is intended to calibrate the DSS for further results be adequate for a given configuration file, being this way adaptive and sensitive to the simulation validation.

4.3 Platform

4.3.1 JADE - Multi-agent System Framework

The multi-agent system framework used for the implementation of the simulation project was JADE which is a software framework that uses the Java programming language for the multi-agent system implementation [Bel07]. JADE is FIPA-compliant – a setting computer software standard for agent development - meaning that implementations in the same or different programming language can interact within the same environment, creating a flexible approach for open systems that wishes the inlet and outlet of agents. A FIPA standard also allows the proper communication between agents by defining ontologies for agent's understanding of exchanged messages within its container's.

Architecture Regarding the creation of agents, it is always needed at least one identifier which can be the FIPA Agent Identifier (AID), being an agent label that distinguishes it unambiguously. Hence, an agent should be registered in a transport address in order to be identified for the multi-agent system's interaction. The execution of agents is made recurring to containers that host the agents that should communicate and interact in a multi-agent architecture's definition. In JADE, there's a principal container - *main-container* - that has a mandatory execution independent from the creation of another's, and two different agents are created with it: the Directory Facilitator (DF) and Agent Management System (AMS). The first one is not mandatory, and provides yellow pages services - accurate, complete and timely list of agents – to all the authorized pages, and the second provides coverage of container's operations such as migration, creation and deletion of agents. The JADE architecture allows the creation of several containers that could be distributed over the network, enabling the distribution of computer processing and the execution of different

Implementation

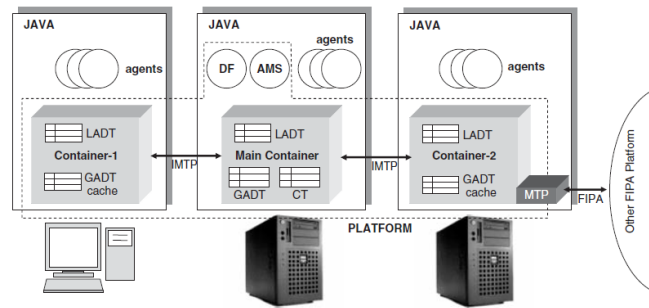


Figure 4.1: JADE architecture [Bel07]

containers at the same time, in different locations. Figure 4.1 represents the relationship between the elements that composes JADE platform and the tools to promote a container's interaction.

The communication through agents living in different containers is possible using the Internal Message Transport Protocol (IMTP) and it is not mandatory the usage of FIPA standards for the interpretation of messages, since it is used for the internal platform communication only [Bel07]. For the identification of registered agents in the containers, a Global Agent Descriptor Table (GADT) is maintained in cache that provides its current status and location in the JADE's system.

The agent's execution is promoted by the usage of behaviors, which are tasks that should perform within an environment, with or without agent's interaction. Each agent can have several behaviors running at the same time, performing different tasks like, e.g. receiving and interpreting of others agent's messages, and explore the environment for mapping information. All the associated behaviors to an agent should implement an action and done function, for defining the way of acting and identifying if the behavior is or over, correspondently. The action method is called when the behavior should be performed, and done method is called when the behavior is terminated.

There are several types of behaviors that promote and facilitate the flexibility that an agent should have within an environment. As example of that are: one-shot behaviors – which perform the action method one time; cyclic behaviors – the action method is executed whenever it is called; generic behaviors – have associated a trigger to execute the action method.

4.3.2 FIPA - Foundation for Intelligent Physical Agents

Since it is used the JADE framework to model the agents, the communication is based on FIPA-ACL Messages, which is one of the most used language [Koe04]. The ACL message is a simple ASCII codification, which increases the time processing due to the need of parsing and interpretation/codification of results. The structure specification of ACL message was defined by Foundation for Intelligent Physical Agents [fIPA00] as a set of elements: Type of Communicative Act; Participants in Communication; Content of Message; Description of Content; and Control Conversation.

The only mandatory element in ACL messages is the *performative* (Type of Communicative Act), but is expected to also be defined the *sender*, *receiver*, and *content*. 2

For the communication's understand between agents, FIPA-ACL standard defined a set of communicative act – label that describes the intent of communication – which is the central guidance in the messaging interpretation when the communication protocols are implemented. The Library Specification of communicate acts is divided into different purposes like *interrogatives* – information query; *exercitives* – asks for an action to be performed; *referentials* – assertions about the world's environment; *phatics* – establish, prolong or interrupt communication; *paralinguistics* – relation between messages; *expressives* – attitudes, intentions or beliefs [Bel07]. 4 6 8

4.4 Conceptual Solution 10

The description of project in overall terms gives the reader an general overview of its purpose preparing for the best understanding of all the specific details and the justification of certain approaches. This section is the central explanation of the whole dissertation project, in which will be explained the physical architecture, logical architecture, class model, multi-agent architecture and agent architecture. Those subsections will be explained in depth for the user's full understanding along with the reasons that leads to its implementations. 12 14 16

For the model construction, several steps should be taken, like simulation, until the final model emerges [Per10]. The military modeling strategies could go through some phases of the model generation, but most of the times it is implemented in real-world, which is the approach that ensures more reliable results due to the difficulty of real-world simulation due to the obstacle of modeling the real-world dynamics. Hence, the real-world validation of military strategies is very expensive due to its implementation using the means that composes its simulation, being the phases of computer modeling validation and verification [Sar91] almost the same. Most of the times there are huge costs to know if certain plans and tasks are effective in a warfare situation, and more important, the human life-threatening which is measureless. Regarding these constraints, for the proper validation of military situations, several simulations should be done due to environment dynamics and the limitless exceptions that have to be analyzed and contemplated in the reformulation of the final model. 18 20 22 24 26 28

4.4.1 Physical Architecture

The physical architecture is a diagram intends to show the interactions between outer components of the whole SAFEPOR project and the simulation platform, which is represented in Figure 4.2. For the underlying diagram, there will be explain in depth each interaction and its meaning within the project context. 30 32

As said before, the DSS should provide a configuration file in which the characteristics of the vehicles, sensors and mapped area are specified, being the source of the simulation. The details of proposed XML-based [Bra08] file are exposed in 4.4.3. 34 36

Implementation

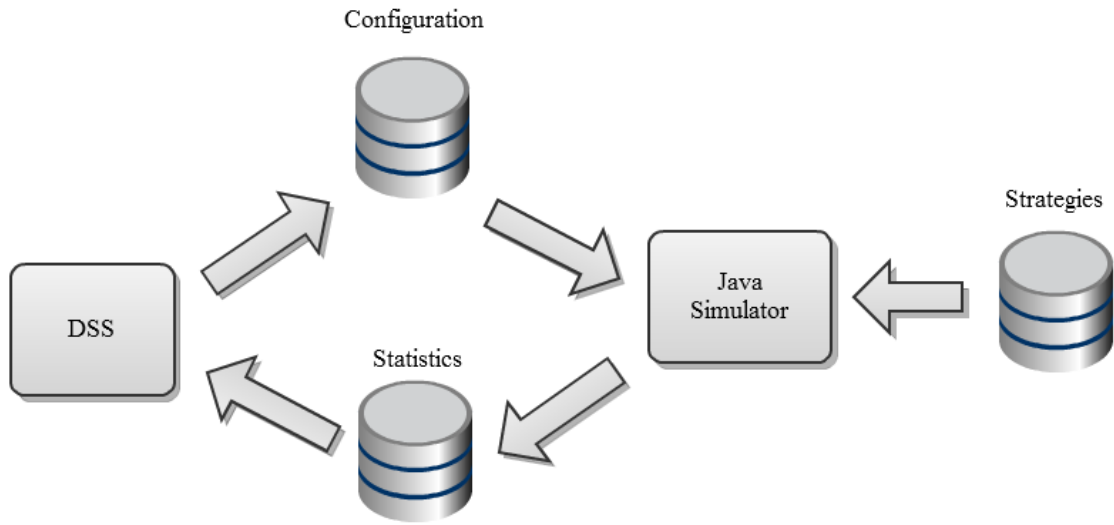


Figure 4.2: Physical Architecture

In the configuration file, information like characteristics of the vehicle, mapped area along with the dimensions of the region could be defined. For the reading of the configuration file an interface was created to allow users an easy and quick access to the means for the simulation start. It is in the Java Simulator where all the processing is executed with the modeled multi-agent architecture and the definition of each agent. For the behavior modeling is used external information, allowing users to change the behavior from simulation to simulation. These strategy files are explained in depth in 4.4.3. The configuration and strategy files are the external factors that should be provided for the proper simulation run. When the simulation ends, a validation file is created with all the statistics of the simulation run that contains all the information about the given configuration validation, for the DSS to analyze. All the workflow explanation should be used as a cycle until the best result attainment. As simulation don't reproduce the exact real-world dynamics, the usage of several simulations runs is a normal practice forming a cycle between the DSS and the Java Simulator

4.4.2 Logical Architecture

As it is known, for the development of a multifunction application it's necessary the usage of different technologies to reach the best solution. Hence, the logical architecture diagram, presented in Figure 4.3, is a representation of all the platform components that implement all the developed functionalities, from interface to multi-agent system.

Regarding the interface, it is composed by java SWING toolkit [RV03], for the user interaction, and Processing programming language [Rea07] for simulation animation. SWING is a Java GUI (Graphical User Interface) widget toolkit that is intended to provide visual components

Implementation

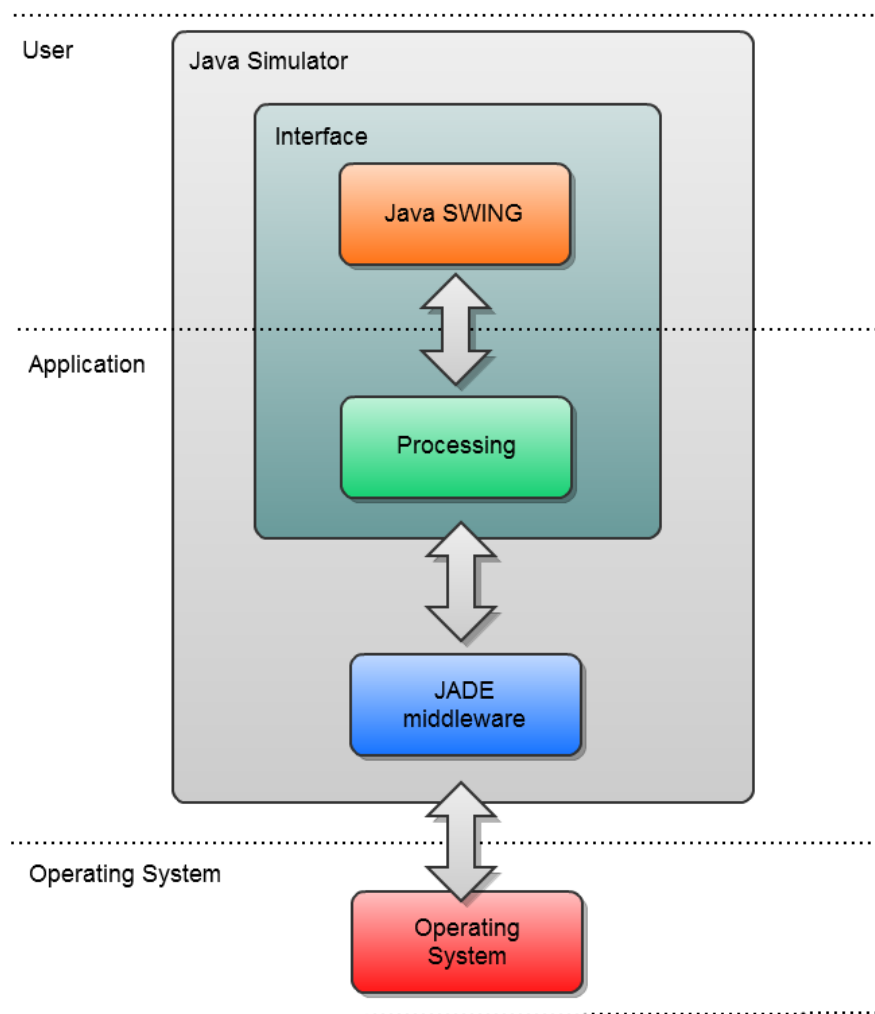


Figure 4.3: Logical Architecture

that provide the interaction between the user and platform, and Processing is an open source programming language that could be developed in its IDE (Integrated Development Environment) or integrated with other languages like Java and C++, and different IDE's – e.g. Eclipse. 2

Those two different approaches are the core of the interface that provide, in one hand, an easy user interaction, and for another, a real-time simulation representation for behavior validation and observation. Is through SWING interaction that the simulation starts, using the control panel that allows start/continue, pause and stop the simulation. When the configuration file is inserted into the platform, the corresponding number of agents and mapped region are created with the specified characteristics. For each agent could be associated a strategy, that is independently modeled by a XML-based file, giving user the possibility to adapt and update the simulation to be more adequate to its purposes of simulation. The interaction between agents and the multi-agent system only starts with the user's will. 4 6 8 10 12

Implementation

Since the simulation is based on a multi-agent system, it should use an agent development framework for the multi-agent and agent architecture definition. To accomplish that, the JADE framework [Bel07] was used, which includes a useful communication protocol – FIPA (Foundation for Intelligent Physical Agents). The whole multi-agent architecture was modeled in JADE along with each agent architecture. Both of implementations are based on previous studied architectures, but were adapted for the context of the problem. Being the simulation a mean to get the final result, it takes into account the beginnings of the components architecture implementation, using the property of continuous time, represented by a single step, for the warfare execution. For the simulation an agent was created being all the execution it's up the JADE middleware. The execution of platform is represented by the Java Simulator which comprises the Java SWING, Processing and JADE middleware. Hence, all the external communication that a platform should logically have, is with the operating system, through the Java Virtual Machine (JVM), and the user, being all the remains factors not included for the platform to interact.

One widely used platform is Repast [Nor06] which is an open-source modeling and simulation toolkit. Despite the whole simulation and modeling process, this platform has also an interface for the user's visualization of environment dynamics. The strong reason that led to the JADE platform choice was the more appropriate functionalities that JADE provide for the military warfare simulation comparing with Repast. As said before, the interface is not a requisite of the system, not being the available interface of Repast a point in favor of its usage. The main purpose of the simulator's platform is to replicate real-world warfare, using message exchanging, ontologies and a multi-agent architecture that implements the world dynamics, which is provided by the JADE framework in an easy and fast way.

4.4.3 Modular Communication

Configuration File For the simulation platform it is needed some information regarding in what conditions it should be started, executed and finished. Hence, a XML-based file was created to fulfill this component interaction, which is divided into four different sections. The definition of each section is located independent, meaning it could be placed in some point of the configuration file. The first explanation regards the number of steps that simulation should be to be considered done, the second takes into account the dimensions of the mapped area, the third is intended to explain the mapped regions in the environment, and the fourth refers to the vehicles that will be used in the simulation process.

Most of the simulation platforms executes in a period of time, not being associated a termination condition like resources depletion in a predator's prey environment, or certain value of profit in an economic process. Regarding the context of military warfare, the simulation should not terminate when all the agents of a team are captured or when an enemy invades a protected zone, but when the number of steps reaches the stipulated value. The XML example presented in 4.1 is intended to model the termination of the simulation process, using the <steps> tag within the <Simulation> tag.

Implementation

```
1 <Simulation>
2   <steps>5000</steps>
3 </Simulation>
```

Listing 4.1: Simulation Definition

As can be from 4.2, the dimensions definition is a simple tag that defines the how many cells will be used in the three dimensional modeled space. In the example, we are defining a region with 500 cells in the x-axis, 500 cells in the y-axis and 500 cells in the z-axis. All the following definitions of areas and vehicles should respect these boundaries for the good execution of the simulation process.

As presented in 4.3, the definition of areas has also a simple implementation that is very intuitive and easy to produce quick results. The example presented is intended to define a square land region with 40 cells in each edge. The tag for the areas definition is <Areas>, along with a single area definition tag <Area>. In each area tag there are a set of tags that are needed to be fulfilled. The first on is the type of the mapped area - <type> - which is land in the presented example. The possible set of constants for this definition is:

- **CELL_LAND**: definition of land region;
- **PROTECTED**: definition of protected region. The type of this area definition is related to validation processes, in which a certain region is not intended to be invaded with enemy team elements.

In the simulator we are not interested in defining the sea areas due to the default initial set of the whole are as sea, and then the user can define all the land areas. The simulator was conceived this way due to its maritime context, knowing that more sea region will be mapped against land.

The second set of tags the needed to be filled are <point>. The tag point is intended to define a single vertex of the shaped area, and all the point will be connected in the forming the pretending area. The order of the points is important for the linking shape process, not being independent from the place they are defined. In the example were defined four different points only using the x-axis and y-axis, making a plane that could be seen in the animation of the developed interface, since it only shows the two dimensional space of x-axis and y-axis.

```
1 <Dimensions>
2   <x>500</x>
3   <y>500</y>
4   <z>500</z>
5 </Dimensions>
```

Listing 4.2: Dimensions Definition

Implementation

```
1 <Areas>
2   <Area>
3     <Type>CELL_LAND</Type>
4     <Point>
5       <x>10</x>
6       <y>10</y>
7       <z>0</z>
8     </Point>
9     <Point>
10      <x>50</x>
11      <y>10</y>
12      <z>0</z>
13    </Point>
14    <Point>
15      <x>50</x>
16      <y>50</y>
17      <z>0</z>
18    </Point>
19    <Point>
20      <x>10</x>
21      <y>50</y>
22      <z>0</z>
23    </Point>
24  </Area>
25  <Area>
26    ...
27  </Area>
28 </Areas>
```

Listing 4.3: Areas Definition

Implementation

The final tags of the XML-based file completion from the three needed refers to the vehicle definition. For the definition of several vehicles the tag <vehicles> is needed, along with the single vehicle definition tag <vehicle>. All the vehicles have some characteristics that should be gathered and implemented in the configuration file. Those tags are:

- **<team>**: this tag refers to the team definition being the possible constants BLUE_TEAM or RED_TEAM. A vehicle to be addressed to a team for the good execution of the simulation;
- **<type>**: the type tag refers to the type of vehicle independent from the addressed team. The possible constants for this tags are: TYPE_PATROL or TYPE_NORMAL;
- **<goal>**: is the goal of the vehicle when it is initiated. This is an important attribute because it defines the behavior of the entity for the simulation, with the possibility of changing. The set of possibilities of this tag is flexible, since it is possible to add and remove constants from the structure file which possesses all the constants to be utilized in the simulation;
- **<behavior>**: this is the tag where to file with the containing behavior information is addressed to a single vehicle. The definition of the strategies XML-based file is specified in 4.5.2. All the files that are associated to a single vehicle should be in a directory named "behaviors" in same folder of the application;
- **<speed>**: the measure of speed in the simulation and commonly used in the real world maritime velocity representation was the knot – 1knot = 1.852km/h. the maximum value for this parameter is 40, due to the most maximum speed of most patrol ships used in the military warfare;
- **<radius>**: the tag radius is intended to specify the length of the circular perception that it has from the environment. It could be applied either to an entity that is a vehicle (moving entity) or to a sensor (non-moving entity);
- **<coordinate>**: this tag specifies the location of the vehicle in the environment, which is supposed to be a valid coordinate. The initiation of the simulation will fail if the coordinate is malformed. For its definitions, only the x-axis and y-axis are considered into the present simulation platform.

The full version of the XML-based file could be seen in [A](#) with different modeled areas, different team vehicles, types and located in several places in the environment.

Validation File For the simulator's platform, the validation file is not defined yet, since it depends on the DSS implementation which is an independent institution that is responsible for it. The main information this file should contain refers to the statistics of the simulation performed with the correspondent configuration file. The basic and mandatory information is the following:

- The number of defense vehicles;

Implementation

```
1 <Vehicles>
2   <Vehicle>
3     <team>BLUE_TEAM</team>
4     <type>TYPE_PATROL</type>
5     <goal>GOAL_DEFENSE</goal>
6     <behavior>beh_escort_patrol.xml</behavior>
7     <speed>15</speed>
8     <radius>40</radius>
9     <Coordinate>
10      <x>140</x>
11      <y>110</y>
12      <z>0</z>
13    </Coordinate>
14  </Vehicle>
15  <Vehicle>
16    ...
17  </Vehicle>
18 </Vehicles>
```

Listing 4.4: Vehicle Definition

- The number of strike vehicles;
 - How many times a strike vehicle entered in a protected area without be seen;
 - How many strike vehicles were captured.
- All the information is updated along the simulation's execution and generated when it terminates. Those parameters were provided by the SAFEPORT team and represent the pertinent information that should be considered by the DSS to calibrate its configuration file generation.

Structure File For the organization and categorization of certain parameters like vehicle's goal or the performative's message, labels should be written and used for the interpretation of messages and behaviors between agents. One major example of its application is in the definition of behavior to each agent in the strategy XML-based file. Since the behavior's definition is dependent from parameters like performative's message, vehicle goal's or even which action should be performed, the usage of this type of file for the labels definition gains a total reason to exist. Hence, the structure file is a set of labels for the simulation platform to interpret, and the main tag is <struct>, and for each label definition should be used the <element> tag.

4.4.4 Class Model

The purpose of the class diagram is to show the developed classes for platform implementation, its attributes and methods, along with the interactions between classes, in a simple and easy representation. Since the programming language used to develop the application is Java, an object oriented and class-based, the class diagram, Figure 4.4 is the proper representation of the system architecture and each class will be explain in the following:

Implementation

```
1
2 <Struct>
3   <Element>CELL_LAND</Element>
4   <Element>CELL_SEA</Element>
5   <Element>PROTECTED</Element>
6   <Element>BLUE_TEAM</Element>
7   <Element>RED_TEAM</Element>
8   <Element>UNDEFINED</Element>
9   <Element>TYPE_PATROL</Element>
10  <Element>TYPE_FRIGATE</Element>
11  <Element>TYPE_NORMAL</Element>
12  <Element>GOAL_DEFENSE</Element>
13  <Element>GOAL_STRIKE</Element>
14 </Struct>
```

Listing 4.5: Structure File

- **IO**: This class is responsible from the reading of XML files and its interpretation. Those files are the configuration file and strategy that could be associated to each vehicle. This class is also intended to create vehicles instances for the simulation usage, as well as the mapped region in which the agents should interact. The class uses the Document Object Model which is a language-independent that interacts with objects in HTML, XHTML and XML documents [Hor00], for the parsing of input files. The construction of vehicles instances lies in the further association with agents, along with its behaviors;
- **VEHICLE**: One of the main classes is the vehicle class, which is where all the information and characteristics of a vehicle is created. The characteristics that compose a single vehicle are: team; type of boat; initial, final and actual position; plan; goals; perception radius; velocity and associated behavior. This class is intended to be associated to each agent, being a real-world representation providing the agent the needed information for the correct environment interactions.
- **PLAN**: We've seen that plan is a constituent part of a vehicle. Hence, the plan is constituted by a set of tasks which could provide a complex behavior of the vehicle. The set of tasks is implemented by a LIFO (Last in Last out) that is intended to be flexible in a way that if a certain new task appear and is added to the set, it would be immediately executed, and when it is terminated, the previous associated task could execute normally by the order they were inserted.
- **TASK**: A single task is just a certain path which has an initial and final point. The possible paths to be created are from two types: goto and survey. The goto path is just a straight line between initial and final points with no obstacles. If the calculated path has some obstacle that don't allow the direct connection between initial and final points, an alternative path is provided to circumvent it; the survey path is a complex behavior constituted by circumferences and straight lines. A survey behavior is composed by a certain number

Implementation

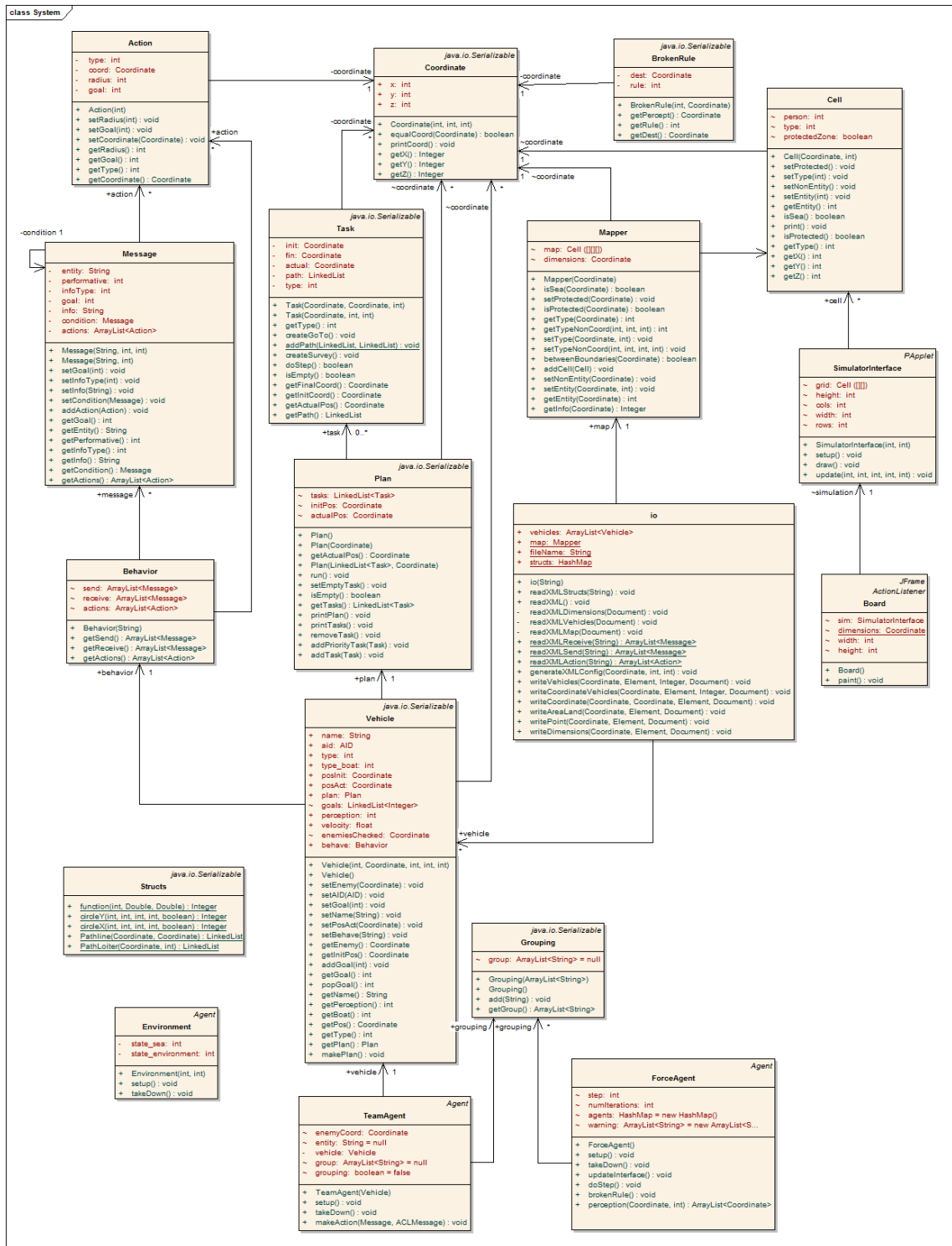


Figure 4.4: Class Model

of circumferences within a bigger circumference, which is created taking into account the provided radius for the bigger circumference. As can be seen from Figure 4.5, there are five

Implementation

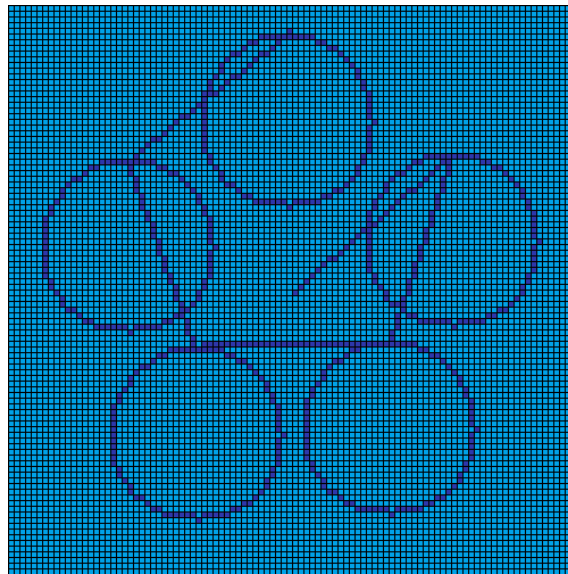


Figure 4.5: Survey Behavior

circumferences that are equally placed along the bigger a circumference.

This is a typical behavior for the surveillance of a certain region varying with the previously defined radius. 2

- **BEHAVIOR:** The behavior class aims in create a flexible way of each agent know how it can act taking into account the interaction between agents. This class has two different types of message sets, one regarding the received messages, and the other the messages that should be sent. As agents are reactive entities, each message as an action associated to. There's the possibility of an agent only receive a message without replying, for the purpose of inner state update – like agents localization – and has associated to it a certain action like goto or survey behavior. The same approach is applicable to the sent messages, which could induce a personal action. An agent could have initial actions that should be prioritized and begin without any interaction with the remaining agents. Hence, and regarding the XML files for the modeling strategy that each agent could associate, this approach is supposed to create a personal protocol that gives response to specific cases and creating an heterogeneous multi-agent system, where each agent has its own behavior. 4 6 8 10 12 14

- **MESSAGE:** This class is the definition of message that should be received from and sent to different agents. A single message is constituted by an entity – agent that sent or should receive the message; performative – label the represents what type of message should be received; infoType – the type of information that is sent in the message; goal – the goal state that an agent should have to validate the message. This is important to validate due to the reception of same messages for different behaviors; info – the information associated to the message; conditions – this is a parameter that only exists in the sent messages. Most of the 16 18 20 22

Implementation

times, a message is sent due to the reception of one another, and this condition parameter is from the same type of its own class - message – to ensure that the received message is validated for the sending of actual message; actions – this parameter is a set of actions that should be queue to an agent for the beginning of its own actions without the influence of other agents or even its own perception.

- **ACTION:** This is a simple class that only helps to organize the information of an action. An action is just a movement of an agent that could be one of the two previously explained tasks – goto and survey. This class is constituted by type - the type of the action (goto or survey); coord – the associated coordinate that indicates the agent where to go. If the action is goto, the coordinate indicates the final point, being the initial point its actual location. If the action is survey, the coordinate indicates the central point of the bigger circumference survey task; radius – this parameter is only valid for the survey task, in which a radius is needed; goal – the goal that should be set for the inner state representation of agent. This class is used by the behavior class, in which represents the initial actions that an entity should perform, and by the message class, for the execution of certain behavior either if it's a receiving or a sending message.
- **COORDINATE:** This is a simple class that is intended to give a more flexible approach about the environment simulation. It is formed by three different parameters: x, y, z; that are the representation of a three dimensional coordinates. Despite its simple implementation, it is a very important class since almost the developed classes use this type of information for, e.g. location and path calculation.
- **CELL:** The class is part of the mapped region and constitutes the information of a single cell in the created board which is the environment representation. The cell information is composed by: person – which entity is in the cell; type – the type of cell (sea or land); protectedZone – indicator of protected zone cell. This information allows the map to be constituted by a set of cells, being easy its implementation and update according to the environment dynamics.
- **MAPPER:** For the environment representation, a class was created to promote the creation a single instance that is accessible to the entities. This representation is composed by a set of cells forming a three dimensional space, limited by the given dimensions of the mapped area. It's in this class where all the information about the environment is located, being changed with the environment dynamics, disregarding the dimensions of it.
- **BOARD:** For the user's interaction, the board class was implemented allowing the loading of configuration files, the simulation initiation, along with pause and stop. This is an important class not only due to its intermediate function between the application and user, but also for the simulator developer that needs some feedback from the modeling behavior work. The class is constituted by: sim – the animation panel representation; width and height which are the dimensions of the mapped region.

Implementation

- **SIMULATIONINTER:** The animation of the simulation platform is implemented by the simulationInter class. This class uses an Processing java interface that is implemented for the usage of the core functions which allow the good animation's execution. For this implementation, the following information is needed: grid: representation of the cell's animated board; height and width – the size of the representation panel; cols and rows – the number of lines and columns that the board should. 2 4 6
- **BROKENRULE:** In certain situations, when the messages are exchanged between agents, there is the need of more information. The agents have rules that could be broken along the simulation execution, representing a change in the agent plans. The common occurrence of this broken is due to the perception of an enemy or an unknown object in the environment. This class is constituted by: dest – the coordinate that promoted the broken rule; rule – the violated rule. 8 10 12
- **STRUCTS:** This class was developed with the intent of aid the other class implementation. The main functions are related to path calculation and obstacle avoidance. The importance of this class refers to the validation of the simulation, and as said before, the behaviors of agents and its proper replication in the simulator is a major indicator of good results. 14 16
- **GROUPING:** Is an auxiliary and simple class that guides the formation of a group. One important concept for the military context is the communication with a single group for coordination of behaviors. This class as only a set of names that are its participants and is used by the agents that need to know the partners of its own group for an adequate communication. 18 20
- **TEAM AGENT:** This class is an implementation of the Agent class of JADE, which provides a set of functions to override that guides the agent modeling. The team agent is one of the agents that constitutes the multi-agent system, and is intended to represent the vehicles that are used for the simulation. The parameters of this class are: enemyCoord – the coordinate of the perceived enemy. This variable is only filled if the vehicle if after a single enemy; entity – the main responsible of the group. All groups have an entity the controls and guides it; vehicle – the representation of the associated vehicle with all its characteristics; group – set of names that belong to a group; grouping – indicator of agent's participation in a group. 22 24 26 28
- **FORCE AGENT:** As the all the agents, within a JADE agent-based platform, the force agent should implement the agent interface that JADE provides. This class is a representation of the agent that regulates the simulation of warfare, and communicates to all the environment integrated agents. The constitution of this class is: step – the time representation variable; numIterations – the maximum number of iterations for the simulation to terminate; agents – set of agent names for communication purposes; warning – set of agents names. The warning variable was conceived to regularly warn a set of agents for its own purposes, and it's activated by request from the team agents. 30 32 34 36

- **ENVIRONMENT:** This class is also an agent implementation of JADE middleware, and is intended to simulate the environment conditions like weather and sea dynamics. Hence, the variables used are: state sea – state of sea conditions; state environment – state of weather conditions. These states are regularly sent to the force agent for the influence update in communications and environment perception.

All the presented classes represent the system entities that allow the proper function and the functionalities that were modeled when the development of the platform. The interaction between classes is an important property of the system, in which classes use the representation of another's to build its own representation.

4.4.5 Multi-agent Architecture

Like in every agent-based platform a multi-agent architecture needs to be developed, defining the interactions between agents and its importance for the problem solving. The architecture should contemplate the projected relations between entities regarding the real world interpretation, ensuring the validation of the model and the consistency of the simulation process.

The multi-agent architecture used for the development of this application is based on the RSAC – Rand Strategy Assessment Center – System Software developed in 1985. It was developed aiming the improvement of strategy analysis methods, combining the best features about War Gaming and analytic modeling [Hal85]. Along with this system software, ABEL – Advanced Boolean Expression Language - was used for programming PLDs – Programmable Logic Device - developed in 1983 by Data I/O Corporation [Kyu85]. For RSAC application, it was used to write the decision rules of War Gaming context. The developed application is based in previously presented RSAC multi-agent architecture, with a slight change. Hence, the MAS of RSAC is composed by four different agents: Red Agent; Blue Agent; Scenario Agent; Force Agent. Comparatively to military life, the name of Red Agent refers to strike force, and the name of Blue Agent refers to defense force. The Scenario agent is responsible to simulate all the changes in the dynamic environment and the Force Agent combines all the previous agents into a simulation.

The changes of this architecture, that are presented in Figure 4.6, refer to the combination of Red and Blue Agents into the Team Agent. The purpose of merging these two agents stands in the similarity of agent architecture that both presents and ensure that the two teams are at the same level of modeling, not allowing the benefit of one of the teams, avoiding a biased simulation behavior, fulfilling the verification requirements for the simulation development process. In the nomenclature of RSAC architecture, NCL and ACL process from each Agent refers to National Command Level and Area Command Level. The first one is intended to create a plan based on the perception that is given to him and the conditions that lead to a plan change, and the second one refers to the implementation of the plan, sending it for Force Agent execution.

For the platform implementation, both NCL and ACL processes were developed, being the communication between the force agent and the team agent indirect sometimes and direct by

Implementation

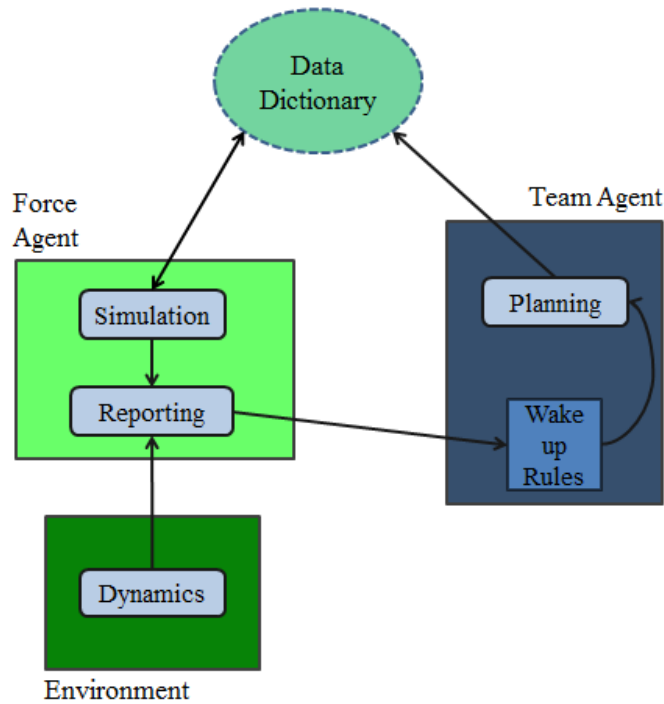


Figure 4.6: Multi-agent System Architecture

others. In the multi-agent architecture was added a Data Dictionary intended to gather all the information from vehicles, mapped region and so on. This type of approach allows the reduction of exchanged messages between agents, speeding up the simulation time performance, gathering the information more quickly creating an indirect communication between agents. All the remaining information is gathered by messages exchange, using the FIPA-ACL messages that JADE middleware provides [Koe04].

The simulation is initiated when all the agents update its plans in the Data Dictionary so that force agent can gather the necessary information for the simulation to run. When the simulation is running, there are events that need to be reported for the team agents re-planning and suitability to the new environment conditions. The force agent communicates directly to the team agent reporting what was unexpected to happen that needs a reformulation of its behavior, which leads to the strategy update in Data Dictionary. This message exchange is called wake-up rule due the stand-by acting, from team agent, when the planning update and simulation execution. Regularly the environment agent sends the information about the environment dynamics to the changing of simulation conditions that influences the agent's interaction and perception. This influence is represented by the probability variation of enemy detection and maximum range perception reduction.

4.4.6 Agent Architecture

2 This section is intended to present each agent in detail and explain the main goals of each one. As
 4 said before, the multi-agent system is composed by three different agents that are capable of give
 4 response to the simulation process needs.

- 6 • **Team Agent:** For this kind of simulation which implies the modeling of two different teams,
 6 an agent, that represents a single vehicle, is need for its representation. In this case, by the
 8 entities similarity from different teams, the same agent is used for the two teams. This also
 8 implies that both strike and defense teams have the same capability to respond a certain
 10 modeling needs, developing strategies for each side not discarding the importance of vali-
 10 dating the defense team. It's the team agent that is responsible for the strategy planning and
 given response to certain environment dynamics.

12 Regarding the sensors definition, it's implementation is performed by team agent due to the
 14 flexibility of adaption to a modeling process. In this case, a sensor is just an object of a team
 14 agent that have the capability to percept, but it can't move along the environment, being
 16 only a platform that is always in loitering process. As well as the modeling of vehicles in
 16 the simulation platform, the sensor has the capability to communicate and report information
 that is important for the simulation process, and more specific, for the team that is affiliated.

- 18 • **Environment:** The modeling level of environment agent is quite simple, since its functions
 20 are the sea and weather updates to the force agents. This modeling was maintained simple
 due to the further adequate modeling of this agent from a specialized institution.

- 22 • **Force Agent:** The main function of this agent is to gather all the received information from
 22 environment and team agent, and apply it for the simulation execution. From the execution
 24 of a single step to the group forming process, this is the central coordination agent that
 24 guides the simulation of real-world and ensures that every received information is included
 and the final result is a consequence of it.

26 Communication

28 Like in the real-world communication, an understanding is needed for the interactions between
 28 entities. Multiple communication ways are available like speaking, writing, gestures, along with
 several ontologies which are represented by languages. Hence, the usage of multi-agent system
 30 ontologies in the agent-based platform is needed for the agents understanding and coordination.
 The communication between agents should provide the needed information for the correct simu-
 32 lation execution. To ensure it, there were needed two different types of classes explained in 4.4.4:
 brokenrule and grouping. Those two classes implement the Java serialization instead of using the
 34 ontologies provided by JADE. This is due to the simple and powerful means to convert Java objects
 into sequences of bytes, which is the type of information exchanged in ACL Messages [Bel07].
 36 There are three main advantages of using ontologies provided by JADE instead of serializable
 classes:

Implementation

- Independent from JADE agent implementation: Interpretation of messages between different agent implementation, since they are FIPA-compliant; 2
- Human-readable format when using the Sniffer agent for communication analysis;
- No need of knowing the object that an agent is receiving. 4

The advantage in the usage of ontologies instead of serialization by Java lies in the fact of no existence of other agents that could communicate to the simulation platform that are not JADE implementations, but FIPA-compliant. All the agents that exist in the simulator are JADE implementations, so its interpretation of serializable classes is an easy and fast implementation, and there's no possibility to other external agents join the simulator, making a non-open system. For this reason, an agent knows previously what type of messages he will receive, being capable of understanding every message that he receives from the multi-agent environment. There is a possibility of communication with an external agent that represents the weather dynamics, but it is not implemented yet, and a possible ontology cannot be implemented until its definitions. Since the usage of Sniffer agent is much adequate in negotiation cases, for the protocol implementation of the simulator, the Sniffer agent was not used. The type of messages is not plural enough to justify its usage, and the implementation of the multi-agent system is intended to reduce the exchanged messages, giving emphasis of not using the Sniffer agent. 6 8 10 12 14 16

Hence, and for all the previous reasons, an ontology were not implemented, allowing a fast transactions of messages which is one of the more important requisites of all developed applications: temporal complexity. 18 20

4.4.7 Interface

For the representation of the simulation process, there are different approaches that should be considered when using a java implementation and a multi-agent system for simulation. Regarding the java implementation, there are GIS's (Geographical Information System) that provide a well and effective performance in the environment representation that could be integrated with Java implementations. A powerful toolkit developed by Open Source Geospatial Foundation is GeoTools [Jod11], which provides a full integration with java implementations, a real representation of the environment, and uses JTS_Topology_Suite Geometry. This type of toolkits is very useful since it is not necessary the implementation of an interface representation, but most of them are heavy for the system, being inappropriate for simulation means. One purpose that simulation implies is the fast feedback for real time usage, being a requisite for the development of this project. So, instead of using a GIS, a simple representation of the environment – essentially land and sea – was created, providing quick results for the animation process, not delaying the simulation. More important than that, is the non-mandatory animation representation of the simulation for the user, devaluing the interface that will be only used in specific cases, giving strength to the fact of not using a GIS with all the detail of the real world. 22 24 26 28 30 32 34 36

Implementation



Figure 4.7: La Spezia, Italy mapped area

The simulator platform is composed with two different sections: animation and control panel. The first one refers to the animation of the simulation, which represents the movement of the vehicles allowing the user to see its execution in the environment, and the second one refers to the tools that allow the initiation, pause, stop and load of configuration files. The control panel as the basic user interaction needed for the well function of the simulator platform. Regarding the area fill problem, the most difficult issues found was an algorithm that could handle large areas, and finding an inner point of the area mapped. Those were the main obstacles for a good representation of the mapped region provided by the configuration file. With the combination of those two methods, all the provided areas from the configuration file could be well represented and mapped in the user's interface. For the area filling, the flood-fill algorithm was used, being an easy understanding and implementing algorithm, along with the ray-cast algorithm to find a polygon inner point. The final combination of those two methods can be seen in Figure 4.7, that uses different polygons with different shapes positioned in different sections of the environment.

The following subsections explains the methods used and examples of its functions.

Flood Fill algorithm For the areas that have the perimeter delimited with the final color of filling, the flood fill algorithm is a good and viable approach. The algorithm is based on a neighbors' structure, searching for cells that are not filled in the expected color until non neighbor of the unfilled cells remains. For the algorithm implementation, it uses recursion method, meaning that the same function is called in itself. This method is used when the behavior of the function needs to be applied several times, depending on results of previous function calls. One of most well-known

Implementation

```
1 Factorial(number):  
2   If number equal to 1, return 1  
3   Otherwise, return the number multiplied by Factorial(number-1)
```

Listing 4.6: Factorial calculation using Recursion

applications of the algorithm is for the factorial calculation. The recursive factorial function only has one stop condition: function parameter, which is an integer higher than 0, equal to 1; and for all the remaining cases, the parameter function is multiplied by the result of the same function call, with the parameter decremented in one. This leads to a number of the same function calls equal to the number given for the factorial calculation. The pseudo-code of the factorial calculation using recursion is presented in 4.6.

As can be seen from 4.7, the function implementation includes the instruction call of itself, using the same behavior. The algorithm starts with an inner position of the delimited area, the color to fill, and the color that should be replaced. With this information, the algorithm searches for neighbors that are not filled with the intended color, and calls the function again with the same parameters, but the inner position updated for the new neighbor cell.

The version of the algorithm that is presented uses a neighbor structure that only analyzes four neighbor cells (north, east, south and west), being possible the extension for eight cell searching (north, north & east corner, east, east & south corner, south, south & west corner, west and west & north corner). For the implementation of the simulator platform was used the four neighbor structure, due to cells' line that limits the area are linked sometimes by corner cell's, making the eight neighbor structure inadequate.

Most of the times, the mapped area should represent regions from the real implying a very high number of cells that constitutes the simulated environment. Due to heap problems (dynamic memory allocation) using recursion, the space needed to keep the function calls in memory is higher than heap available space. So, a solution was found using queue data structure to store the

```
1 Flood-fill (node, target-color, replacement-color):  
2 If the color of node is not equal to target-color, return.  
3   Set the color of node to replacement-color.  
4   Perform Flood-fill (one step to the west of node, target-color, replacement-color).  
5   Perform Flood-fill (one step to the east of node, target-color, replacement-color).  
6   Perform Flood-fill (one step to the north of node, target-color, replacement-color).  
7   Perform Flood-fill (one step to the south of node, target-color, replacement-color).  
8 Return.
```

Listing 4.7: Flood Fill four-way algorithm pseudo-code

Implementation

```
1 Flood-fill (node, target-color, replacement-color):
2 Add the node the queue
3 While queue is not empty
4 Set the color of node to replacement-color.
5   If one step to the west of node has the target color
6     Add one step to the west of node to the queue
7   If one step to the east of node has the target color
8     Add one step to the east of node to the queue
9   If one step to the north of node has the target color
10    Add one step to the north of node to the queue
11   If one step to the south of node has the target color
12    Add one step to the south of node to the queue
13 Remove node from the queue's head
14 Return.
```

Listing 4.8: Flood Fill four-way algorithm pseudo-code

functions' call information, which uses the stack, instead of heap, that has more available space.

2 Regarding this changes, the pseudo-code of the final flood fill algorithm is presented in 4.8.

Ray Casting The ray casting algorithm was based on Jordan Curve's theorem, which says "any ray from inside a polygon crosses an odd number of edges on its way to infinity" [Hai90]. This means that if we start with a point that is out the filling surface, and if we trace a line through the polygon, an inner polygon point could be found between the odd and even crossed times, not the other way around. Figure 4.8 shows an example of ray casting method, in which could be seen that points between 1 and 2, and between 3 and 4 are inner points of the polygon.

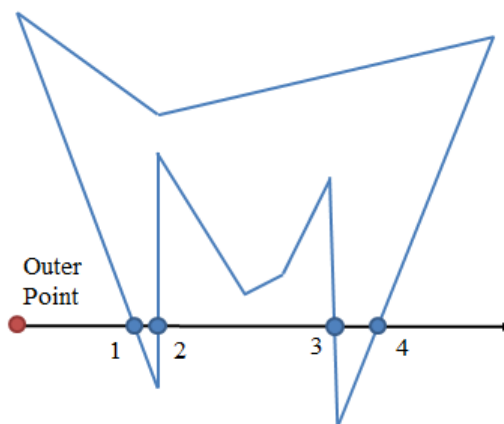


Figure 4.8: Ray Casting

The presented example shows that ray casting is an easy way of finding inner points from a polygon, but for the implementation of this method, a valid line that crosses the polygon should be calculated. Hence, it was used the maximum and minimum point of the polygon in the x-axis,

Implementation

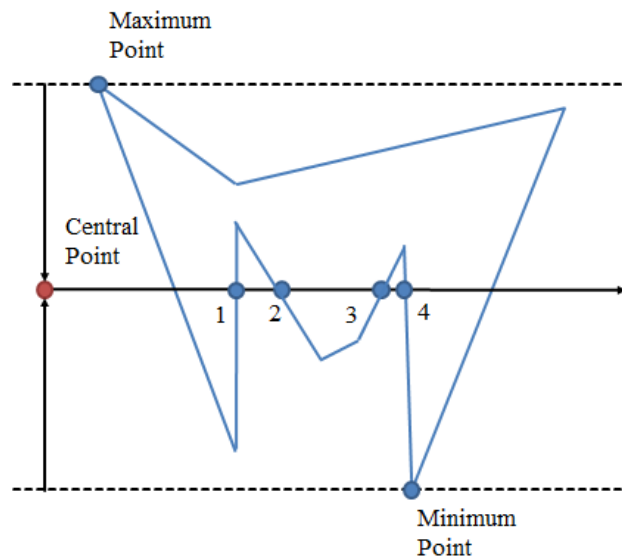


Figure 4.9: Ray Casting Implementation

being possible the calculation of the central region of the polygon. With this x-axis coordinate, which is for sure valid, all the y-axis coordinate are calculated creating a line that crosses the polygon. Figure 4.9 shows an example of the simulator implemented method.

SWING As previously said, the developed interface is composed by SWING components which are intended to interact with the user, turning the simulation experience not only more appealing but also easier and effective. The created interface is composed by the normal interaction with a simulation environment – Start, Continue, Pause and Stop – allowing users to interact in a much richer way and control the simulation for analysis purposes. The user has the capability to load the configuration file to the simulator, enabling the execution of only the selected files and not predetermined ones, and run several different simulations in a certain order. For the user's guidance of the simulation's animation, information about the number of past steps is presented, useful for the analysis time comparison of actions and strategies. The final component that constitutes the user's interface is the slider simulation speed, which allows the user to manage the speed of simulation's animation. This component is useful for the selection of certain situations that need to be analyzed with a slow motion detail. Figure 4.10 presents the created interface previously described, with La Spezia, Italy region model loaded.

The major limitations of SWING are related to performance issues, more specifically with animation and video representation. SWING uses its own handle to deal with the computer graphics, instead of using the native API's of the Operative System, e.g. DirectX on Windows. To correct this situation was used *Processing* for the simulation component's representation.

Implementation

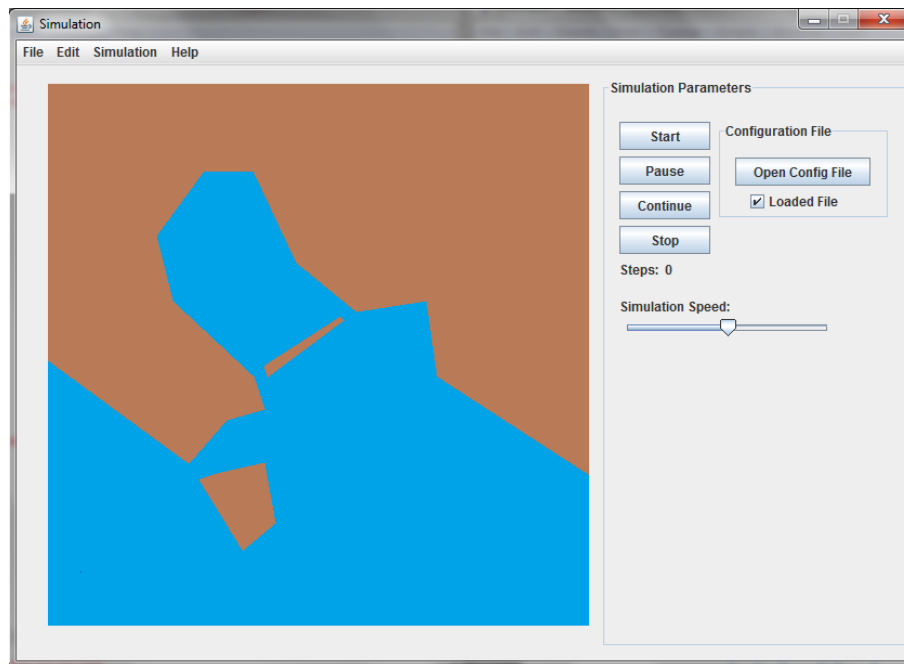


Figure 4.10: Simulator's Interface

Processing For the animation components of the simulator, the Processing programming language was used. It was mainly created for teaching computer programming through a visual context, to serve the electronic arts and visual design communities, being totally directed to representation and animation. It was totally written in Java, and all the Processing language is parsed to be translated into Java or Javascript, for Operative System applets or web-based programming, correspondently. The animation has a much better performance with Processing usage, instead of grid table, not only in the mapped region representation, but also for the vehicles presentation and animation. The animation is represented taking into account the strategies of each agent, and the mapped area the configuration file with the simulation properties.

4.5 Functionalities

4.5.1 Obstacle Avoidance

Regarding the piano mover's problem, several algorithms were developed to solve this type of problems. The problem is based on moving from an initial point to a final point, avoiding several platforms that are in the way of its trajectory. This problem can be extrapolated for a 2D approach, in which platforms are polygons, and trajectories are lines within a grid, simplifying the implementation of solutions to the problem.

Some well-known algorithms proposed for the piano mover's problem are Potential Fields [Kha95], Bug's algorithm [Ste90] or Brush Fire Algorithm [Cho04] which are used on the robotics for path planning calculation. The Potential Fields is an algorithm based on physics,

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in which the entity that is moving towards the environment is a particle that is attracted to its final destination, and the obstacles represent a set of particles with repulsing properties. In every step of the moving process, the gradient is calculated to analyze in which direction the main entity should progress towards the final destination. This type of approach has a major problem due the inflexibility knowing if the particle stabilizes in a local position instead of the final one. Since the movement of the particle is dependent from the polygon's form, the concave shapes could limit the behavior of this algorithm, not being totally effective.

Another algorithm is the Brush Fire, based on neighbor structure to calculate the shortest path. The algorithm uses distances from the final path coordinate to the initial, determining which is the shortest path being totally independent from the obstacles that could exist in the environment. Since it uses a neighbor structure to progress, the algorithm needs to calculate all the neighbor cells until it reaches the initial coordinate of the path, being a problem in high number of cells in mapped regions. The number of cells that the algorithm needs to analyze is very dependent from the location of the initial position, not having a constant performance. For the reasons previously presented, none of the algorithms was implemented due to temporal complexity problems. The information used for the path calculation in the simulator's platform differs from the previous approaches, since it provides the area's location and its constitution points, changing the paradigm of robot path planning which only uses the local information to calculate its route. Like the real world warfare, the information about the operation area is known using satellite communication or previous knowledge of the region, being totally proper and reasonable a personalized implementation despite of the local perception of the agents.

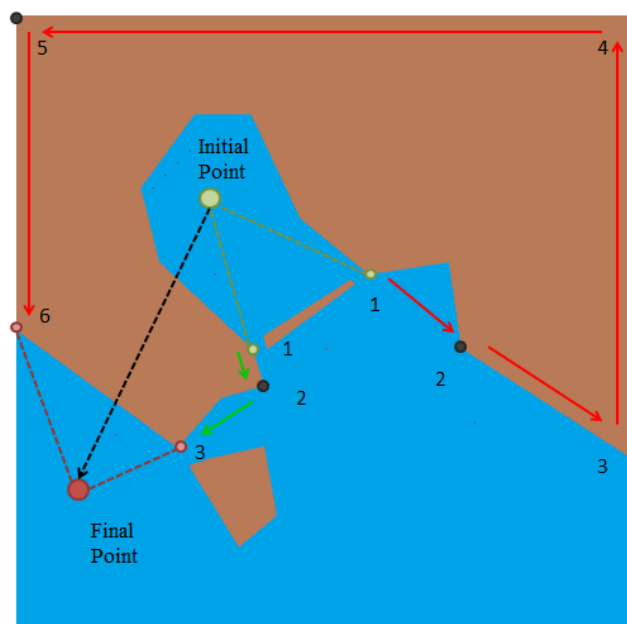


Figure 4.11: Example 1: La Spezia

Implementation

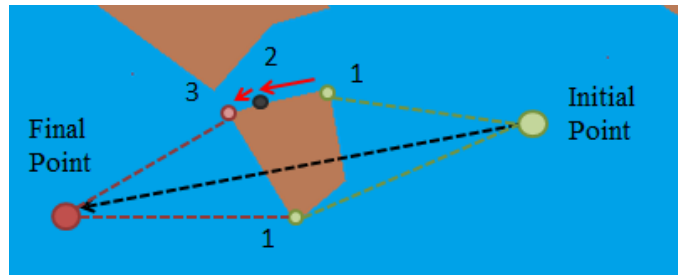


Figure 4.12: Example 2: La Spezia

The implemented algorithm takes into account the number of obstacle vertexes that a vehicle should pass to reach the final coordinate. The algorithm is recursive since it needs to use the same behavior until the stop condition is verified. The first step of the algorithm is to know for which direction of the obstructed area it should move. To do that, the longest visible point of both initial and final are calculated, and the number of points between them are also calculated in clockwise and counterclockwise directions. To ensure the shortest path in both directions, the distance between the initial and final points of a single direction are calculated and compared, in which the next point in a certain direction is the longest visible point – no obstacles between - regarding the actual point.

The modeled area of La Spezia, Italy is taken as an example, and can be seen that the correct direction should be the lowest distance between the initial and final points, as it is presented in Figures 4.11 and 4.12

This algorithm guarantees the correct orientation for the obstacle avoidance, along with the shortest path through the polygon. This approach combines the pertinent area vertexes that should be included in path planning, and the minimum distance through each direction calculation. The final product is the shortest path, simulating the normal action of the maritime forces in the real world.

4.5.2 Strategies

One of the main aspects of the platform's development regards parameterization, which allows changing the inputs according to users' will, reaching its goals. To give this type of flexibility taking into account the agents' behavior, a XML-based file was created. All the possible allowed simulator's behaviors could be modeled in a simple and effective way using the XML modeled files, which can be fully seen in A.2. The file's structure is segmented into three different sections: initial actions, receive message and send message. Those sections will be specified and explained with real world's examples giving a utility's overview of the platform independent modeling of behaviors. To fully understand and take better advantage of the modeling behavior, two different concepts should be explained: grouping and warnings. Those concepts are not mandatory, but represent a major aid for the behaviors implementation, allowing users to produce strategies in a more quality way and easily.

Implementation

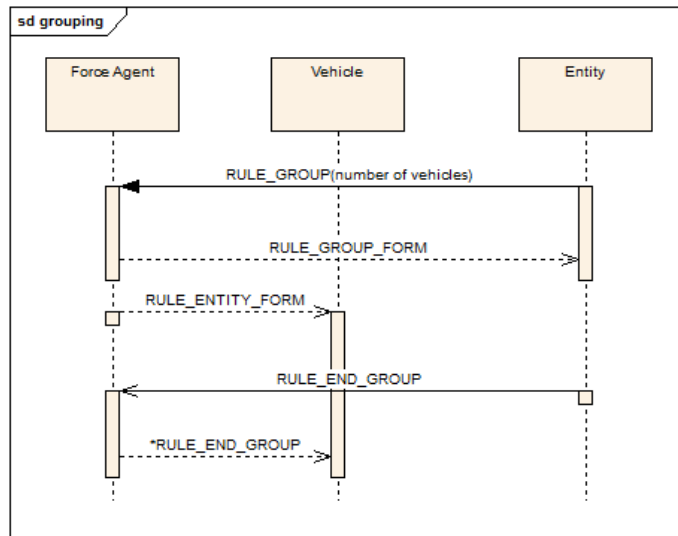


Figure 4.13: Grouping communication

Grouping Most of operation and control systems need to coordinate and organize its entities for mission completion using the group properties to ensure a higher performance and effectiveness. To create the notion of group, there's always a responsible entity for the group's coordination, and the remaining entities that constitutes the group, that mostly receive orders and execute actions from the central entity. The workflow of a group constitution an messages that should be sent for the group's forming is presented in 4.13.

As can be seen, for the group's forming, an agent should solicit the service by sending a message, indicating the number of agents that the group should have, to the force agent. The entities are available vehicles in which the goal is GOAL_DEFENSE, representing they are not, e.g. pursuing an enemy or in a group. The available agents receive a message with the entity that has the central role in the group, for the possibility of identifying who have sent the message. The main entity of the group receives all the names of the group's formation for communicate and give orders of what should be done and coordinate all the actions. To terminate the group, the main entity should inform the force agent sending a message with RULE_END_GROUP performative. This will induce a messaging from force agent to the group entities informing that they are no longer the group. With this approach of force agent's regulation, the group formation can be controlled avoiding one entity belonging to several groups at the same time, as the main entity of the group. Hence, it is very important for vehicles that are intended to be available for this type of concepts to initiate with GOAL_DEFENSE in the configuration file. Otherwise, the force agent could not identify agents for the group's formation.

Also related to the grouping concept, there is a case that was modeled for the well function of the simulation, which regards the detection of the enemies in its radius the perception. In case of enemy detection, the agent should contact the main entity of the group requesting authorization for enemy capture. Those behaviors are modeled in the software, and the acceptance of the main

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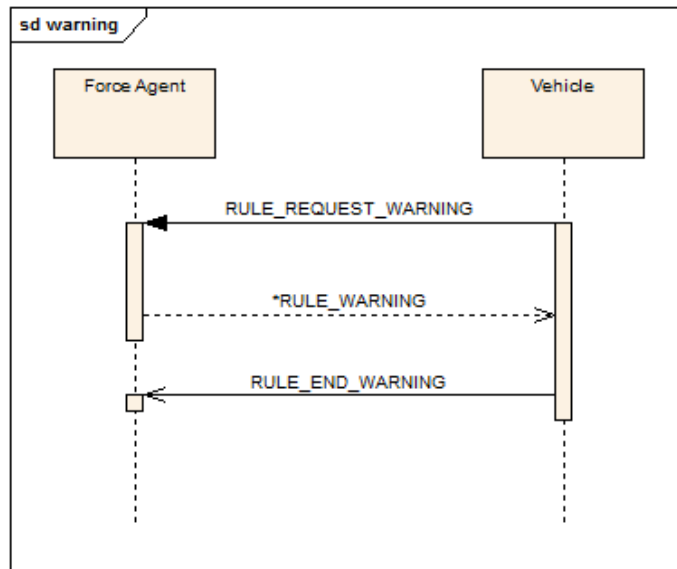


Figure 4.14: Warning Communication

entity is associated with a fifty percent change. This value only represents the possibility for further usage of the simulator, being possible the modeling of associated probability.

Warning Most of the times, entities need to promote a periodicity for informing purposes and do some state update of the vehicles. A good example is the usage of warning's process in group formation, in which an escort behavior should be done. The periodicity of informing the actual position of the escort entity to the patrol ships is essential, promoting an inner group state up to date with the exact coordinates of the escort vehicle, for the patrol ship to follow and provide the right defense means. The Figure 4.14 represents the work flow process of the warning service between agents.

The warning service should be requested to the force agent that regulates all the simulation, which implements the time component of the environment. The request message should only contain the `RULE_REQUEST_WARNING` performative for the service activation, and from the periodicity of 10 step simulation the agent should be warned to promote the modeled action that is associated to.

Initial Action When the simulation is initiated, agents could have associated some actions that should be executed without external influence, promoting pro-activeness in simulation process, when interactions are do not represent an important issue. The given XML example 4.9 represents a simple initial action of survey, explained in 4.4.4, in which survey action's radius, measured in number of cells, and the central coordinate should be specified. The possible constants for the `<type>` tag are: `GOTO`; `SURVEY`; `GOAL`. In case of `GOTO` constant usage, only the coordinate should be specified, like the given example, and for the `GOAL` constant, a `<goal>` tag should be

Implementation

```
1 <init_action>
2   <type>SURVEY</type>
3   <radius>25</radius>
4   <coordinate>
5     <x>100</x>
6     <y>250</y>
7     <z>0</z>
8   </coordinate>
9 </init_action>
```

Listing 4.9: Agent's initial action example

added specifying the goal update for the agents. Any value of goal constant can be written, since it exists in the structure XML file.

Send Message For the agents to send a single message to another's, creating this way a communication protocol and a way of interaction, some conditions should be verified, knowing if it is the proper message to send. An example of the condition XML code can be seen in 4.10, being constituted by <performative> – label that describes the type of communicative act; <sender> – the agent that sent the message; and optionally <goal> – goal associated to the agent that receives the condition message. The <goal> parameter is optional due to the necessity of sending messages independently from the agent's goal in cases that goal is not a constraint. The major strength of goal's parameter is in same message reception, with different agent's action, being a constraint for the correct reply choice. Within a condition, an action could be performed being an important parameter from the XML model since most of the message's reception is associated an execution constant: GOTO, SURVEY or GOAL. In case of GOTO In a condition parameters, multiple actions could be specified, allowing the user, e.g. to create a plan that is constituted by several tasks. The XML example in 4.10 is a representation of a simple condition to be associated with a send message modeled behavior.

Regarding the information of the message to be sent, it is composed by <to>– the receiver agent's name; <performative> – label that describes the type of communicative act; <infoType> – type of information to be sent; and an example can be seen in 4.11.

The constants to use in <to> label are restricted to *forceAgent* – the agent that regulates and performs the simulation; *entity* – the principal entity from the grouping concept; *grouping* – the whole group of the grouping concept, despite of central entity. Regarding group issues, the central entity can send message to the group using the *textitgrouping* label, but the remaining entities of the group only can communicate to the entity using the *textitentity*. The direct communication of agents is avoided due to the complexity of the XML files to promote a single and efficient communication protocol. If agents want to communicate, they should form a group and communicate between them, being independent the agents that constituted the group form the whole environment. The <performative> tag accepts all the constants that the user inserts, since it exists

Implementation

```
1 <condition>
2 <performative>RULE\_ARRIVE</performative>
3 <sender>grouping</sender>
4   <goal>GOAL\_DEFENSE</goal>
5   <action>
6     <type>GOAL</type>
7     <goal>GOAL\_PATH</goal>
8   </action>
9   <action>
10    <type>GOTO</type>
11    <coordinate>
12      <x>450</x>
13      <y>20</y>
14      <z>0</z>
15    </coordinate>
16  </action>
17 </condition>
```

Listing 4.10: Sending message condition

previously in the structure XML file that contains all the labels to be used in the simulation process. Information could be added to a message, since its type is specified in the `<infoType>` tag. The accepted constants of this tag are: `NON` – no information associated; `NUMBER` – the information is a number, and is used for the group formation representing the number of needed agents; `ACTUAL_COORDINATE` – agent’s actual position. If `NUMBER` constant is used, another tag must be added - `<number>` - for the number of agent’s attachment to the message.

Receive Message The structure of receive message’s parameter on the XML file is similar to the condition’s parameter. All used tags are the same, being also possible the user’s definition of several actions to be performed, and an example could be seen in [4.12](#).

With all these three sections, the modeling of protocol communication and behaviors could be made, resulting in a strategy that could be associated to each agent independently. The major advantage of this approach is the adaptation that users can have to the real world strategy operations

```
1 <send>
2   <to>forceAgent</to>
3   <performative>RULE\_END\_WARNING</performative>
4   <infoType>NON</infoType>
5   <condition>
6     ...
7   </conditon>
8 </send>
```

Listing 4.11: Send message

Implementation

```
1 <receive>
2   <from>forceAgent</from>
3   <performative>CFP</performative>
4   <infoType>NON</infoType>
5   <action>
6     <type>GOAL</type>
7     <goal>GOAL_DEFENSE</goal>
8   </action>
9 </receive>
```

Listing 4.12: Receive message

changing the agent's behaviors through simulation to simulation, being only necessary to change of XML associated files. 2

4.5.3 Simulation

Simulation process is a replication of the real world that is intended to analyze the produced dynamics to advice and promote the problem solving. Regarding the context of military warfare, some concepts should to be implemented for the proper execution of the simulation: Planning – each agent has an associated plan to execute; Perception – how interpretation of the agents should be from the world, and how it is replicated; Effectors - how defense vehicle should act in the presence of enemies; Process – the whole process of the simulation execution. All those concepts are explained in the underlying sections, being specified and dissected for a better user understanding. 4 6 8 10

Planning Planning is the common process of an entity's organization consisting of several steps that should be performed to reach to purpose of its creation. It is commonly used in economy, development, project, etc., and also military campaigns, promoting a more effective and efficient approach to the problem solving process. The military behaviors are consisted in several tasks that could be performed leading to a global or transitory goal fulfillment. For the simulation implementation, planning is a set of tasks in a certain order and independent from each other, in which a single task is a displacement through the environment. In this platform, the tasks independence is related to the none-necessity of next task's initial position being equal to the previous task's final position. This independence originates a new task that connects the existing gap between tasks. Has said in the early sections, the modeled tasks were the *goto* and *survey* that could be weave in a way that complex behavior can be modeled. When all the actions terminates, the initial actions modeled in the XML file associated to an agent are loaded creating a new plan for the vehicle. When an agent has a plan associated - set of tasks - and it perceps an enemy, a new set of tasks are added to the plan, not discarding all the previous ones. This approach was modeled with the intent of represent a transitory detour from the purpose he was intended, being self-regulated and capable of retour according to its basic modeled behavior. 12 14 16 18 20 22 24 26

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Perception The perception that each vehicle have from the environment was simulated like the real world implementation, using a circumference for the region to be analyzed. The radius of the circle is a parameter of the configuration file associated to each vehicle, and it could not be changed along the simulation. To set up a vehicle with no perception, the radius only needs to be zero, and not coordinates will be calculated for the case. The feedback that each agent receives from the environment perception is deterministic allowing the vehicles to conclude with certainty if an echo of the sensor is a vehicle from either same or opposite team, not having an associated probability of uncertainty. The perception of the agent is influenced by the environment conditions like weather and sea. The influence refers to uncertainty and the number or perceived cells from the environment, in which a worst condition from the environment is related to less number of perceived cells and less probability of knowing exactly the object that the echo returned from the sensor.

Effectors The usage of effectors in real life is very difficult to model due to the unexpected behavior of the both defense and strike force. Hence, the modeled appliance of effectors was simply implemented simulating the capture of the enemy vehicle or sensor. The capture is based on the removal of strike force, and correspondent termination of simulation's agent, when a defense agent is near it. The modeled distance is 5 cells, and should simply replicate the usage of effectors in a simulation process.

Process Since simulation is a replication of the real world dynamics, the temporal component has to be modeled in a way that its entities and the modeled environment evolves dependent from it. The common implementation of time simulation is the time step that represents the minimum range in which an action could be performed. It is presented has the minimum range due to the system incapability to promote an action with the execution time lowest than the time step. Hence, all the actions are dependent from the time step, not being allowed to be performed faster than the simulation's replication. The step represents one second in real world, since the maximum speed of vehicles is 40 knot – 74 km/h – 20m/s, and a single cell represents 20 meters. So, in a case of 1000 steps of simulation duration, the real world proportion is more and less 16 minutes and 30 seconds. If the simulation is intended to simulate a whole week (7 days) of warfare, the number of steps is 604800.

In each step of the simulation, the planning of each agent is executed to simulate the displacement in the environment. Since the planning is a set of tasks, and a single task is defined by a path between two or more points, a step in a planning process is the next position of the vehicle in the environment.

Associated with the time-step that determines the time component of the simulator, the speed of the vehicles is other important component of the simulation, since interaction through environment like pursuing is performed. Hence, the correspondent speed of 1 cell per step in real world is 40 nods – 72 km/h, being the maximum speed of travelling independent from values above 40. For path planning of vehicles with a lower speed than 40 knots, the slowest speed is simulated

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with the repetition of the next coordinate. For example, if the specified speed was 20, the vehicle will remain in the same cell twice than a vehicle with a speed of 40 knots. 2

All the simulation's sections explained previously are an adequate approximation of the real world dynamics, from obstacle avoidance to the time step simulation, and even the implementation of the planning concept for the correct execution through the environment. 4

All the simulation's sections explained previously are an adequate approximation of the real world dynamics, from obstacle avoidance to the time step simulation, and even the implementation of the planning concept for the correct execution through the environment. 8

Chapter 5

2 Validation - Case Study

5.1 Table Top 1

4 The presented case study is composed by the three members of the defense team (blue vehicles),
6 which will perform the grouping, warning and authorization for enemy approach, and two mem-
bers of the strike team (red vehicles) which only represent sensors, with no movement associated.
8 Figure 5.1 represents the initial state of the simulation process, with the vehicles placed taking
into account the configuration file, with the associated behaviors.

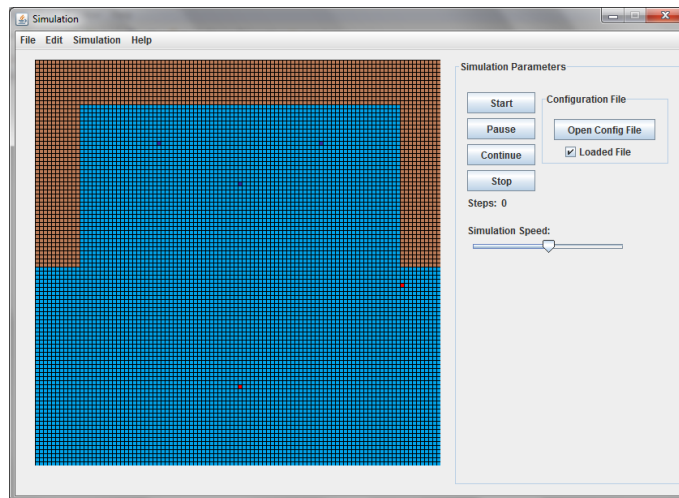


Figure 5.1: Case Study 1: Initial state

10 The main goal of this presented case is to create an escort situation from the initial location
of the escorted vehicle to the lower left corner, in which two available vehicles are selected for
the task's completion. The enemy vehicles (sensors) are located in positions which allow the
12 perception of the escort vehicles, and the authorization process is initiated for the sensors capture.
The underlying subsection is intended to explain how the described situation could be simply
14 modeled, and platform's execution.

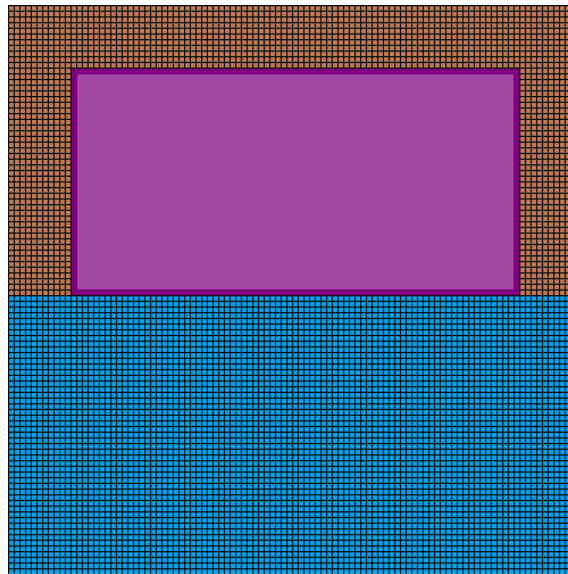


Figure 5.2: Case Study 1: Protected Area

5.1.1 Configuration File

The modeled configuration file defines a dimension region as 100 x 100 cells, with simulation duration of 500 steps. The land region is constituted by clockwise orientation points that defines the final area representation – the brown filled cells - with a protected area located in the central sea parallelepiped region between land, presented in Figure 5.2.

Blue Team Regarding the blue team members that are available for the escort process, the parameters are defined as 5.1. The vehicle is defined as member of blue team - `<team> BLUE_TEAM </team>` - and its type is a normal patrol ship - `<type> TYPE_PATROL </type>`. For grouping purposes, which is the case, a vehicle only should be selected if its goal is set as `GOAL_DEFENSE`. This allows the force agent to know which entities should select and inform for group formation. The perception radius and speed vehicle are defined as 20 cells - `<radius> 20 </radius>` - and 20 knots - `<speed> 20 </speed>`. The last parameter of the vehicle's definition is the location, in which a coordinate tag is used with the x, y and z-axis, being its initial position 30, 50 and 0. As previously said, the z-axis is discarded in this platform due to the scenario's representation using two dimensions. The remaining escort vehicles only differ from its initial position. The escorted vehicle has a behavior file associated, that regards the underlying description, which describes the way he acts through the environment, using the defined communication and interaction processes. The file definition is made using the behavior tag - `<behavior> 1_beh_defense_new.xml </behavior>` - and the specified strategy XML-based file should be placed in a folder called *behaviors*, and the folder placed in the root of the project.

```

1 <Vehicle>
2   <team>BLUE\_TEAM</team>
3   <type>TYPE\_PATROL</type>
4   <goal>GOAL\_DEFENSE</goal>
5   <radius>20</radius>
6   <speed>20</speed>
7   <Coordinate>
8     <x>30</x>
9     <y>50</y>
10    <z>0</z>
11  </Coordinate>
12 </Vehicle>

```

Listing 5.1: Blue Team: Escort Vehicle

Red Team As previously said, the members of red team represent sensors that only can perceive and has not any associated movement. For this matter, the specification of red team is the same as blue team's escort vehicles, despite of team member definition - `<team> RED_TEAM </team>` - and its goal - `<goal> GOAL_HOLD </goal>`. The definition of GOAL_HOLD regards the non-movement of the vehicle, being independent from its type definition. The modeling vehicle section is presented in 5.2, and specifies the previously explained parameters.

The full version of configuration file is presented in A.

5.1.2 Strategy file

For the grouping concept to be performed, an strategy file should be associated to the main entity of the group, which is responsible for its formation, coordination and termination. In this case study situation, the escorted vehicle the central entity of the group and might specify the number of vehicles to join, the location of the group vehicles and the authorization of group leaving. The presented strategy file is divided into two different sections: force agent communication; group

```

1 <Vehicle>
2   <team>RED\_TEAM</team>
3   <type>TYPE\_PATROL</type>
4   <goal>GOAL\_HOLD</goal>
5   <radius>20</radius>
6   <speed>0</speed>
7   <Coordinate>
8     <x>80</x>
9     <y>50</y>
10    <z>0</z>
11  </Coordinate>
12 </Vehicle>

```

Listing 5.2: Red Team: Sensor Vehicle

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```
1 <send>
2   <to>forceAgent</to>
3   <performative>RULE\_GROUP</performative>
4   <infoType>NUMBER</infoType>
5   <info>2</info>
6   <condition>
7     <performative>INIT</performative>
8     <sender>INIT</sender>
9   </condition>
10 </send>
```

Listing 5.3: Group Formation Message

communication. As explain previously in 4.5.2. for the grouping concept to begin, a message should be sent to force agent - <to> forceAgent </to> - specifying the type of message - <performative> RULE_GROUP </performative> - the type of information sent - <infoType> NUMBER </infoType> - and the number of agents to join the group - <info> 2 </info>. Since this message is sent in the beginning of the simulation, the condition should be as follows - <performative> INIT </performative> - and sender - <sender> INIT </sender>. The full specification of message sending in presented in 5.3.

The next step of the escort behavior that should be defined is the selected members' reunion near the entity. To promote this strategy a message should be sent for the group - <to> grouping </to> - to reunite - <performative> RULE_FOLLOW </performative> - near the central entity - <infoType> ACTUAL_COORDINATE </infoType>. For the group to go for a sent location, the RULE_FOLLOW should be used, not being possible the user's definition, like other's rules that an be specified in the structure file. The information type that should be sent is the actual location of the escorted entity, and it should be sent only when the force agent gather all the possible vehicles for the group formation. Hence, a message should be received from the force agent - <sender> forceAgent </sender> with the success of group formation - <performative> RULE_GROUP_FORM </performative>. The full specification of message sending to the group for reunion in presented in 5.4.

```
1 <send>
2   <to>grouping</to>
3   <performative>RULE\_FOLLOW</performative>
4   <infoType>ACTUAL\_COORDINATE</infoType>
5   <condition>
6     <performative>RULE\_GROUP\_FORM</performative>
7     <sender>forceAgent</sender>
8   </condition>
9 </send>
```

Listing 5.4: Group Reunion Message

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```
1 <send>
2   <to>forceAgent</to>
3   <performative>RULE_REQUEST\_WARNING</performative>
4   <infoType>NON</infoType>
5   <condition>
6     <performative>RULE\_ARRIVE</performative>
7     <sender>grouping</sender>
8     <action>
9       <type>GOTO</type>
10      <coordinate>
11        <x>85</x>
12        <y>85</y>
13        <z>0</z>
14      </coordinate>
15    </action>
16  </condition>
17 </send>
```

Listing 5.5: Warning Service; Group Arrival; Action Execution

When the escorted vehicle initiates its way out of the protected area, it should inform the whole group of its location using the warning service that force agent provides. The message should be sent to the force agent - `<to> forceAgent </to>` - with warning service's message type - `<performative> RULE_REQUEST_WARNING </performative>` - that don't have any information associated - `<infoType> NON </infoType>`. To synchronize the message sent with the group arrival, a message from all the members - `<sender> grouping </sender>` - should be received with the proper message type- `<performative> RULE_ARRIVE </performative>`. In 4.5.2 section, it was explained that an action can be associated with a message reception, allowing the behavior definition the vehicle. In this case, when all the members of the group arrive near the escorted vehicle, it can initiate its displacement through the environment to its final location. Hence, the action is defined as a simple movement along the environment - `<type> GOTO </type>` - to its final position specified by x, y and z-axis to 85, 85 and 0, correspondently. The full specification of warning service initiation, group arrival and displacement for the final position is presented in 5.5.

For the group update of the escorted entity, the warning service from force agent should be taken into account. To promote that, a message should be sent to the whole group - `<to> grouping </to>` - with the proper type of message to follow - `<performative> RULE_FOLLOW </performative>` - and with the its actual position - `<infoType> ACTUAL_COORDINATE </infoType>`. The interpretation of the warning service is made by the reception of force agent's message - `<sender> forceAgent </sender>` - with the warning service label - `<performative> RULE_WARNING </performative>`. The full specification of group update is presented in 5.6.

Regarding all the previously protocol specification, it should be terminated when the escorted entity arrives to its specified destination, and two messages might be sent: group deformation; warning service dissociation. Hence, when the entity's displacement terminates, the force agent

Validation - Case Study

```
1 <send>
2   <to>grouping</to>
3   <performative>RULE\_FOLLOW</performative>
4   <infoType>ACTUAL\_COORDINATE</infoType>
5   <condition>
6     <performative>RULE\_WARNING</performative>
7     <sender>forceAgent</sender>
8   </condition>
9 </send>
```

Listing 5.6: Group Update

informs it by sending a message - `<sender> forceAgent </sender>` - with the adequate message type - `<performative> CFP </performative>`. For the group deformation a message to the group should be sent - `<to> grouping </to>` - with proper deformation information type - `<performative> RULE_END_GROUP </performative>` - with no information associated. The main entity of the group is the only one that can dismember it, since it is the former. For the warning service termination, a message to the force agent should be sent - `<to> forceAgent </to>` - with the warning dissociation' message type - `<performative> RULE_END_WARNING </performative>` - with no information associated - `<infoType> NON </infoType>`. The full specification of group dissociation and warning service termination is presented in [5.7](#).

```
1 <send>
2   <to>grouping</to>
3   <performative>RULE\_END\_GROUP</performative>
4   <infoType>NON</infoType>
5   <condition>
6     <performative>CFP</performative>
7     <sender>forceAgent</sender>
8   </condition>
9 </send>
10 <send>
11   <to>forceAgent</to>
12   <performative>RULE\_END\_WARNING</performative>
13   <infoType>NON</infoType>
14   <condition>
15     <performative>CFP</performative>
16     <sender>forceAgent</sender>
17   </condition>
18 </send>
```

Listing 5.7: Group Dissociation and Warning Service Termination

5.1.3 Simulation

- 2 The simulation is initiated like presented in Figure 5.1, and the strategy protocol starts to execute along with the simulation initiation. The first action that is performed is the reunion of the group
 4 around the escorted entity. Figure 5.3 represents the agents' position when they arrive near the request entity for grouping. All the requested entities for the group formation are displaced equitably along the main entity through a circle. In this specific case, since the number of request
 6 vehicles is 2, one of them is placed right above the escorted vehicle, and the other right above.

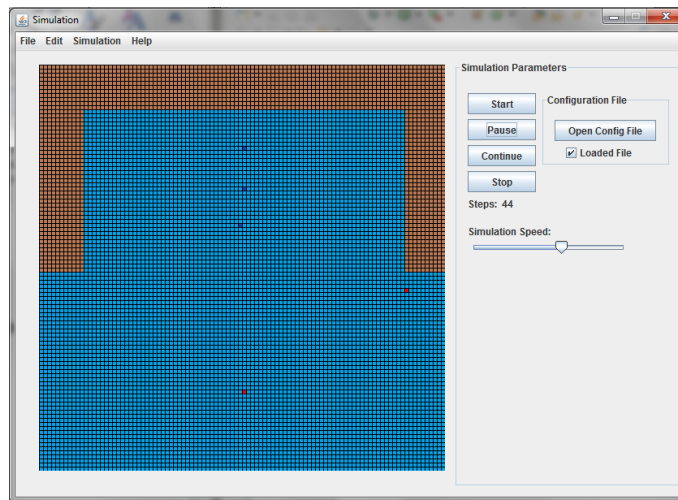


Figure 5.3: Case Study 1: Group Formation

- 8 For the simulation process, the escort vehicles should follow the main entity of the group to its final location. The following process is presented in Figure 5.4 which can be seen the vehicles
 10 placed around the main entity. The actual positions of the escort vehicles relative to the escorted one are not the same, due to the delay of the force agent's warning service.

- 12 The group leaving for the enemy's sensor capture is a possibility if the main entity authorizes it. Figure 5.5 presents the situation when authorization is conceded to the two agents, and initiates
 14 the displacement to capture the sensors.

- When all sensors are captured and the main entity arrives to its destination, the escort vehicles
 16 return to its initial positions, being the simulation of grouping, warning services and authorization process complete. For the appliance of this case study in others situations and modeled regions,
 18 the same method should be used, being guaranteed that the same result should be obtained. The presented situations of this case study also can be executed when obstacles are presented, in which
 20 the shortest path is calculated and performed.

5.1.4 Results

- 22 The final results of the simulation process are presented in Table 5.1, in which the validation should be created. As could be seen from the previous presented case study, there were three

Validation - Case Study

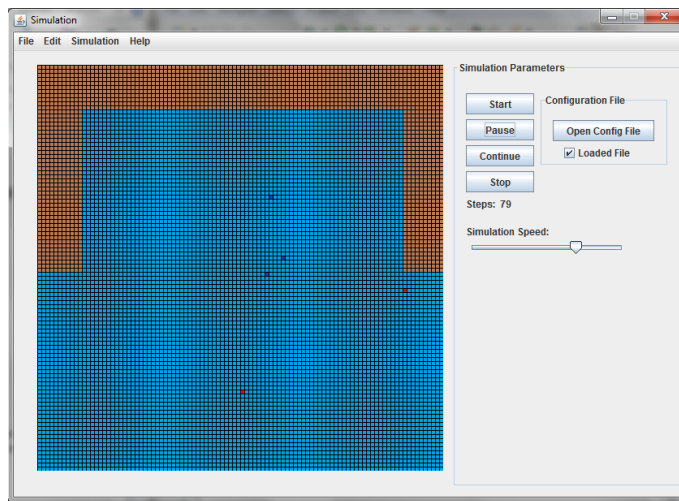


Figure 5.4: Case Study 1: Group Following

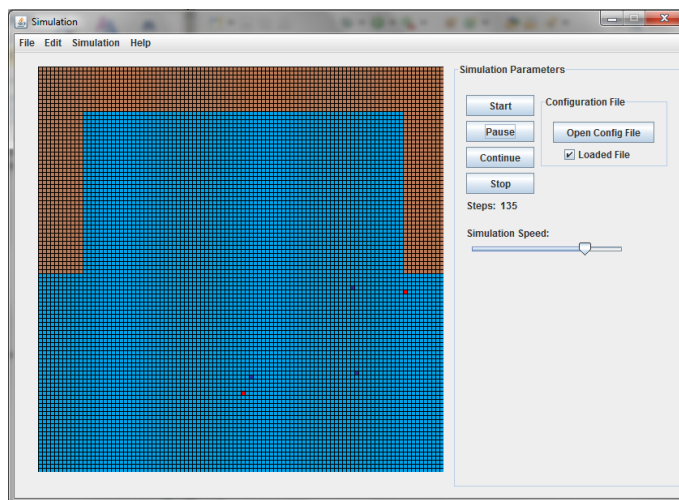


Figure 5.5: Case Study 1: Group Authorization

agents of blue team (defense agents), and two agents/sensors from red team (threats). Since red team entities were sensors and could not move through the environment, they didn't enter in the protected area, and like the authorization to leave the group was conceived, all the sensors were captured. 2

4

Table 5.1: Case Study 1: Results

Type	Number
Number of defense agents	3
Number of threats	2
Number of unseen threats	0
Number of captured threats	2

5.2 Table Top 2

2 The following case study is intended to show a more adequate real-world situation, in which
 4 the defense force is placed in pertinent locations, and has to avoid the entrance of enemies in the
 6 focusing the simulation in the basic strategies of entrance surveillance, not being explored the
 grouping or warning service presented in the previous sections.

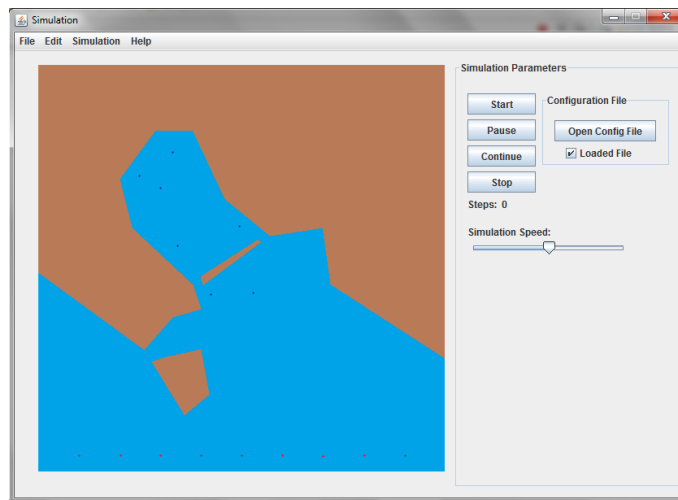


Figure 5.6: Case Study 2: Initial State

8 Other important validation of this case study is the obstacle avoidance of vehicles and the
 associated speed. The red team's vehicles are placed all over the y-axis the environment's bottom,
 10 to prove that a good implementation of obstacle avoidance was made, being reliable the simulation
 usage. For the simulation process a more complex configuration file was provided, with seven
 12 vehicles forming the defense force, and nine vehicles constituting the strike force or threats to the
 protected area. The initial layout of the simulation process is presented in Figure 5.6

14 5.2.1 Configuration File

As previously said, this simulation is intended to be more adequate for the real-world situation.
 16 Hence, the modeled region was La Spezia, Italy, being the dimensions of the environment 500 x
 500, and the number of steps for the simulation to terminate is 2000. All the points that constitute
 18 the scenario were modeled by the clockwise orientation. A protected area, presented in purple,
 was also modeled, which can be seen in Figure 5.7 and represents the real secure zone in the La
 20 Spezia's harbor.

Validation - Case Study

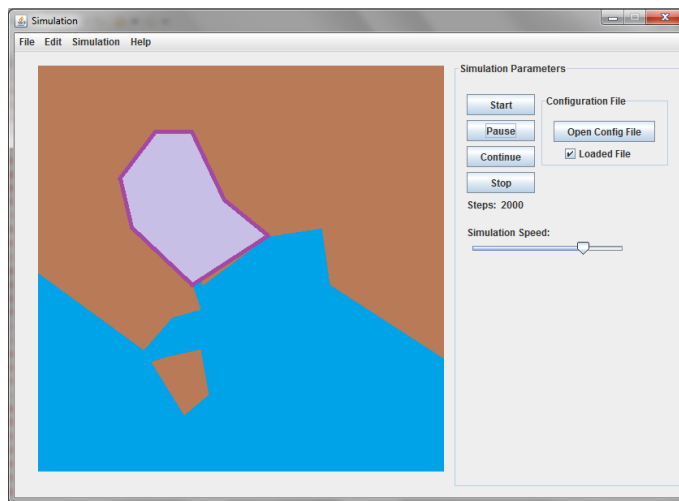


Figure 5.7: Case Study 2: Protected Area

Blue Team The vehicles of blue don't have a strategy file associated, being all the attack and enemy's capture modeled for the user's easier construction of the simulation model. The parameters of blue team are defined as 5.8. 2

```
1 <Vehicle>
2   <team>BLUE_TEAM</team>
3   <type>TYPE_PATROL</type>
4   <goal>GOAL_DEFENSE</goal>
5   <speed>40</speed>
6   <radius>20</radius>
7   <Coordinate>
8     <x>282</x>
9     <y>212</y>
10    <z>0</z>
11  </Coordinate>
12 </Vehicle>
```

Listing 5.8: Blue Team

Hence, the information of the configuration file regarding the blue team's vehicles is identical to 5.1, despite of its location, speed and radius. Those three parameters have to be filled with the proper information of the modeled situation. 4
6

Red Team Regarding the red team, a simple strategy file is associated modeling the displacement from its initial position to the core of the harbor. This strategy is intended to simply prove if the defense is prepared to deal with an invasion of the harbor, and certificates if the modeled implementation of effectors' usage is correctly made. The red team implementation is the same as blue team, despising the team – the RED_TEAM label should be used - and behavior tags. The 8
10

Validation - Case Study

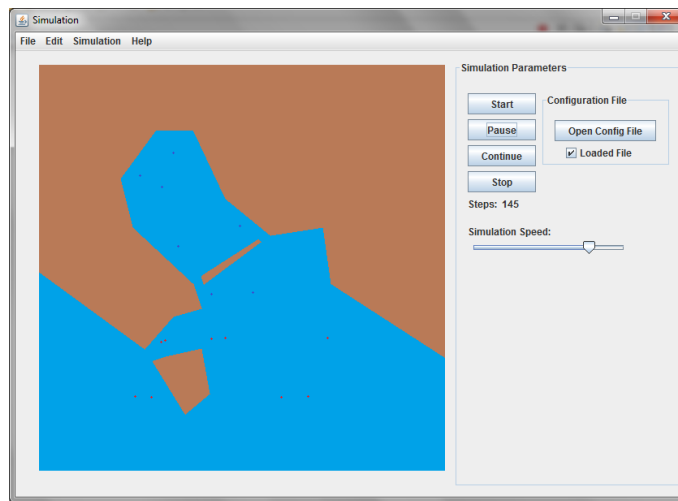


Figure 5.8: Case Study 2: Displacement of Strike Force

behavior defines the strategy that a single vehicle should use, which, in this case, all of them have a strategy file associated. The strategy file definition could be seen in 5.9

```
1 <behaviors>
2   <init_action>
3     <type>GOTO</type>
4     <coordinate>
5       <x>150</x>
6       <y>125</y>
7       <z>0</z>
8     </coordinate>
9   </init_action>
10 </behaviors>
```

Listing 5.9: Simple Behavior

The provided coordinate refers to core of the La Spezia harbor, and all the modeled red team's vehicles should move from its initial position to coordinate 150, 125 and 0 of x, y and z-axis, correspondently. To promote this approach, the initial type action of the vehicle should GOTO, following by the correspondent final coordinate.

5.2.2 Simulation

When the simulation starts, all the vehicles are placed like Figure 5.6, and all of them have different types of speeds associated. So, the first feedback of the simulation process is the different type of displacement through the environment, and the different paths that were taken to reach the final location, as can be seen from Figure 5.8.

Validation - Case Study

The next analysis that should be made is the capture of strike vehicles when a defense vehicle perceives from its defined radius, and entrance of strike agents in the protected zone. As can be seen from Figure 5.9, some of the strike vehicles were captured by the defense vehicles placed in the entrance of the harbor's protected zone, and a single strike vehicle entered in the protected zone. All these events will contribute for the final validation of simulation's output.

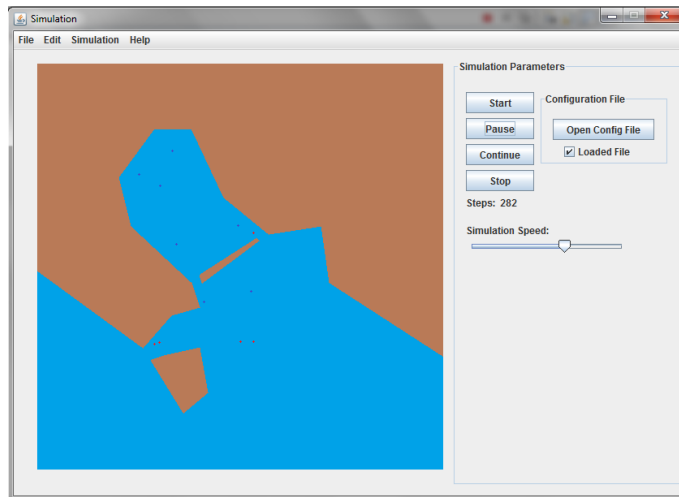


Figure 5.9: Case Study 2: Strike Force's capture and protected zone's entrance

The final layout of the simulation is presented in Figure 5.10, in which can be seen that all the strike force was captured, and only one vehicle entered in the protected zone. From the left side menu can be also seen that the 2000 number of simulated steps, which represents 33 minutes and 30 seconds.

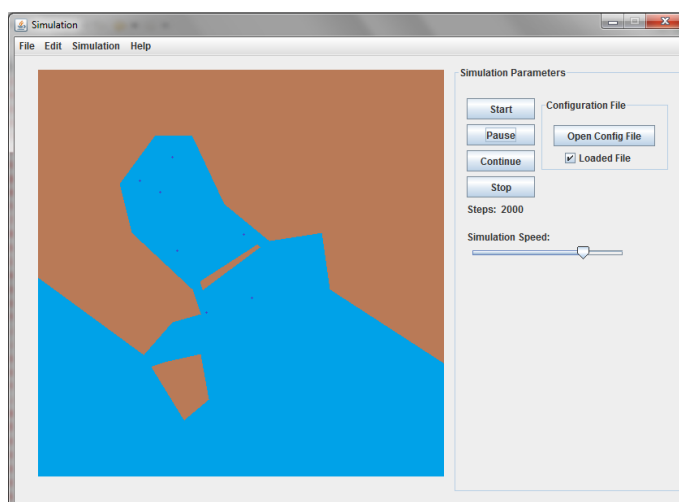


Figure 5.10: Case Study 2: Terminated Simulation

5.2.3 Results

- 2 For the result analysis of case study's simulation, Table 5.2 was build based on the simulation results, and can be seen that all the strike vehicles were capture, and only one entered in the
4 protected area.

Table 5.2: Case Study 2: Results

Type	Number
Number of defense agents	7
Number of threats	9
Number of unseen threats	1
Number of captured threats	9

- Both presented cases are simple implementation that shows the potentials of the simulation
6 platform as a warfare replicator, using to different teams' coordination and organization to promote the best performance in task completion and problem solving.

Validation - Case Study

Chapter 6

2 Conclusion and Future Work

4 6.1 Work accomplished and conclusions

Regarding the planning for the dissertation's implementation, A, some aspects were fulfilled and
6 some not. The scheduling of literature analysis and development of the project were followed
and performed in time, aided by a wiki usage for the project details' registration and supervisor's
8 meeting documentation. The writing of dissertation started later due to needed time of the project
implementation, and the gathering of some reliable results that could validate the simulation plat-
10 form. Almost of the requisites were implemented in the simulator, providing a good and reliable
approach for the real-world warfare simulation. The other functionalities that weren't performed
12 are: Several simulation processes running at the same time; Simulation execution in different ma-
chines; Implementation of a Control Agent that could coordinate several simulations at the same
14 time;

The execution of several simulations required a more deep study of the JADE framework, and
16 a reformulation in multi-agent architecture for the inclusion of a new agent responsible for the
coordination of simulation executions, and provides to the DSS all the gathered information. It
18 also implies a study of how a strike forces should perform, in order to execute several simulations
with different number of threats and behaviors that could validate in a better way the defense
20 force. For the execution in distributed systems is also needed a deep understanding of the JADE
implementation, being possible to develop a section responsible for it. One of the reasons that led
22 to the JADE choice was the possibility to implement a system that can provide the proper feedback
for functionalities that weren't developed in this project.

24 As conclusion, the first aspect that should be underlined is the usage of agent-based system for
simulation in general, and the warfare in specific. The multi-agent system frameworks provide the
26 proper tools for the correct and reliable implementation of simulation processes. Communication
process, modeling of agent and multi-agent architecture and distributed system's execution are a

Conclusion and Future Work

few number of the provided tools that can simulate an environment in which agents can organize, cooperate and compete. 2

The second aspect is the construction of complex dynamic systems using multi-agent systems. For the simulation process, the most common approach is the usage of differential equations that only can see the simulation process as a whole, and not specifically, reducing largely the exception treatment that real-world simulation requires. The sum of agents' behaviors and its interactions could perform a better implementation of the modeling, since is it based on simple linear modeling for each agent and the non-linear model emerge from the joining of these specificities. Even if the model don't behaves as expected, the calibration of the system is much more simple, due to the fact of only changing linear and simple behavior models, and not the approaches like differential equation that era complicated to solve. 4 6 8 10

Other aspect that should be noticed is the Processing programming language for multimedia approaches like animation and the easy integration with other IDE's. Processing language is very simple and intuitive, which allows the fast implementation of interfaces that are very useful for validating modeled systems and simulation processes. 12 14

The final aspect is the good replication of the real world warfare which the developed simulation platform provides. Functionalities like obstacle avoidance, group formation, interactive interface and either independent or flexible behavior definition were successfully implemented. In this dissertation, two case studies were presented and validated with different purposes and goals, as the whole software explanation from logical to physical architecture, and the simulation process. 16 18 20

Taking into account all the previous sections, can be concluded that the developed platform is a viable and reliable tool for the defense strategy analysis that can be fully integrated with the DSS allowing the activation of the simulation processes in the NATO's SAFEPOR project. 22 24

6.2 Future Work

The future work composes an important part for the project to evolve, and many directions that could be explored for problem solving. The presented approaches regards the produced platform, and should be implemented taking into account the way it is implemented. 26 28

6.2.1 Artificial Intelligence

An important and approach that could generate good results for the problem solving, is applying artificial intelligence methods for the combination of results. The genetic algorithms are known for the merging of at least two solutions, reaching a new one, based on the solution's codification. A single solution should be represented as a chromosome, in which the information is coded using an ordered set of genes, despite of its type of coding: binary, numeric, alphanumeric, etc. For the generation of new solutions, there are two different operators based on biology: mutation and combination (crossover). The first one regards the direct changing a solution by altering a single gene, and the second one is based on the combination of at least two solutions applying a 30 32 34 36

several crossovers in different parts of the gathered solutions. Crossover is just a common point of separation of all the gathered solutions to produce a new one. Regarding the different parts of all solutions resulting of the crossover's appliance, a single part of each solution is combined with the chosen parts of the remaining ones.

In this case, this type of approach could be applied as aid to the DSS generation of new configuration files. Several solutions proposed could be gathered and applied a genetic algorithm to produce new better solutions performing combination and mutations operations. One aspect that might occur, is the generation of solutions that are not viable, representing a deficient set of genes the maps a not possible solution.

This approach could be a one possibility of the huge number of artificial intelligence method that could be applied for the solution reaching, and DSS aiding in the decision-making process.

6.2.2 Integration with DSS

Since the SAFEPORT project as a whole is composed by different independent modules, an integration process should align the global workflow, in which exchanged data have to be matched. A future work can be the improvement of both configuration and validation files for a better representation of information, e.g. by adding new parameters to XML-based files.

6.2.3 Sensors and Environment Improvement

The replication of sensors and environment is a great influence to the final result reliability. Sensors are replicated in the perception of each agent, which by default has a simple sensor that gathers information from the field. The implementation of different sensors that can be added or removed from each vehicle, is one real-world approach that should be used for the similarity warfare dynamics. The environment is also an important aspect that influences greatly the perception and communication of agents. The present implementation is based on none influence of environment in the simulation process, in which the perception of a single vehicle is always guaranteed that retrieves the whole information from the perceived area. The propose is the environment representation by an external agent, that don't interacts with the vehicle agents, but with the force agent which implements the simulation dynamics, being totally independent and could be treated as an external entity implemented in different programming language perhaps, since it is FIPA-complicant.

6.2.4 Strategies and Behaviors

The modeling of behaviors is in some way limited by the implementation of only two types of displacements through the environment. The production of new complex behaviors, that could use the modeled displacement implementations, like new survey approaches or behaviors that take in account the whole group coordination, might help the user to model a simpler strategy XML-based file. The communication can also be improved using new communication parameters for the

Conclusion and Future Work

group coordination and organization in defense methods or even proper implementation of strike strategies, leading to a reliable and most real-world alike.

2

Appendix A

² Appendix A

Appendix A

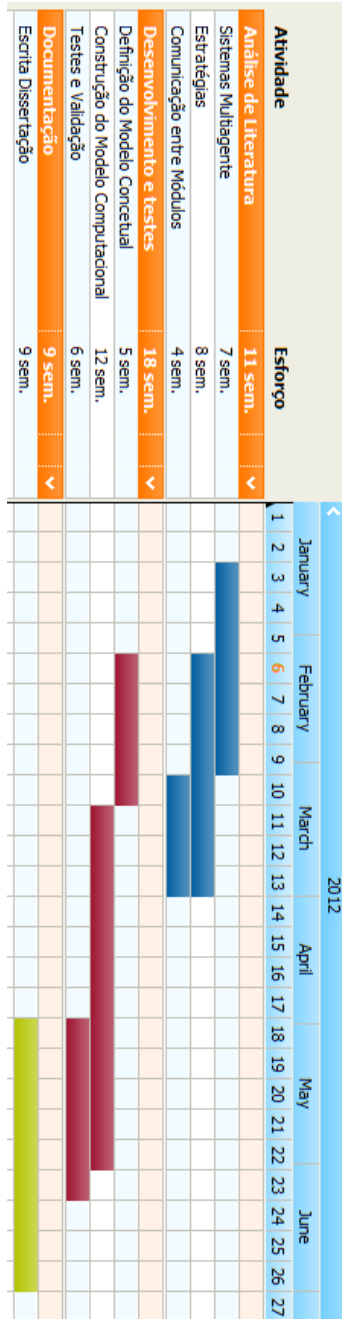


Figure A.1: Dissertation's Planning

Appendix A

```
1 <Map>
2   <Dimensions>
3     <x>500</x>
4     <y>500</y>
5     <z>1</z>
6   </Dimensions>
7   <Areas>
8     <Area>
9       <Type>CELL_LAND</Type>
10      <Point>
11        <x>0</x>
12        <y>0</y>
13        <z>0</z>
14      </Point>
15      <Point>
16        <x>0</x>
17        <y>499</y>
18        <z>0</z>
19      </Point>
20      <Point>
21        <x>360</x>
22        <y>499</y>
23        <z>0</z>
24      </Point>
25      <Point>
26        <x>270</x>
27        <y>360</y>
28        <z>0</z>
29      </Point>
30    </Area>
31  </Areas>
32  <Vehicles>
33    <Vehicle>
34      <team>BLUE_TEAM</team>
35      <type>TYPE_NORMAL</type>
36      <goal>GOAL_OUT</goal>
37      <behavior>behavior_file.xml</behavior>
38      <radius>10</radius>
39      <Coordinate>
40        <x>150</x>
41        <y>150</y>
42        <z>0</z>
43      </Coordinate>
44    </Vehicle>
45    <Vehicle>
46      <team>RED_TEAM</team>
47      <type>TYPE_PATROL</type>
48      <goal>GOAL_HOLD</goal>
49      <radius>15</radius>
50      <Coordinate>
51        <x>210</x>
52        <y>160</y>
53        <z>0</z>
54      </Coordinate>
55    </Vehicle>
56  </Vehicles>
57 </Map>
```

Listing A.1: Configuration File - First Part

Appendix A

```
1 <behaviors>
2   <init_action>
3     <type>GOTO</type>
4     <coordinate>
5       <x>100</x>
6       <y>250</y>
7       <z>0</z>
8     </coordinate>
9   </init_action>
10  <send>
11    <to>forceAgent</to>
12    <performative>RULE_GROUP</performative>
13    <infoType>NUMBER</infoType>
14    <info>2</info>
15    <condition>
16      <performative>INIT</performative>
17      <sender>INIT</sender>
18    </condition>
19  </send>
20  <send>
21    <to>grouping</to>
22    <performative>RULE_FOLLOW</performative>
23    <infoType>ACTUAL_COORDINATE</infoType>
24    <condition>
25      <performative>RULE_GROUP_FORM</performative>
26      <sender>forceAgent</sender>
27      <goal>GOAL_DEFENSE</goal>
28    </condition>
29  </send>
30  <send>
31    <to>forceAgent</to>
32    <performative>RULE_REQUEST_WARNING</performative>
33    <infoType>NON</infoType>
34    <condition>
35      <performative>RULE_ARRIVE</performative>
36      <sender>grouping</sender>
37      <goal>GOAL_DEFENSE</goal>
38      <action>
39        <type>GOAL</type>
40        <goal>GOAL_PATH</goal>
41      </action>
42      <action>
43        <type>GOTO</type>
44        <coordinate>
45          <x>450</x>
46          <y>20</y>
47          <z>0</z>
48        </coordinate>
49      </action>
50    </condition>
51  </send>
```

Listing A.2: Strategy XML-based file model - First Part

Appendix A

```
1 <send>
2   <to>grouping</to>
3   <performative>RULE_FOLLOW</performative>
4   <infoType>ACTUAL_COORDINATE</infoType>
5   <condition>
6     <performative>RULE_WARNING</performative>
7     <sender>forceAgent</sender>
8     <goal>GOAL_PATH</goal>
9   </condition>
10 </send>
11 <send>
12   <to>forceAgent</to>
13   <performative>RULE_END_WARNING</performative>
14   <infoType>NON</infoType>
15   <condition>
16     <performative>CFP</performative>
17     <sender>forceAgent</sender>
18     <goal>GOAL_PATH</goal>
19   </condition>
20 </send>
21 <send>
22   <to>grouping</to>
23   <performative>RULE_END_GROUP</performative>
24   <infoType>NON</infoType>
25   <condition>
26     <performative>CFP</performative>
27     <sender>forceAgent</sender>
28     <goal>GOAL_PATH</goal>
29   </condition>
30 </send>
31 <receive>
32   <from>forceAgent</from>
33   <performative>CFP</performative>
34   <infoType>NON</infoType>
35   <action>
36     <type>GOAL</type>
37     <goal>GOAL_DEFENSE</goal>
38   </action>
39 </receive>
40 </behaviors>
```

Listing A.3: Strategy XML-based file model - Second Part

Appendix A

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